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# Transitioning UPDM to the Unified Architecture Framework

Or

There's more than one way to skin a  
framework

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# Agenda

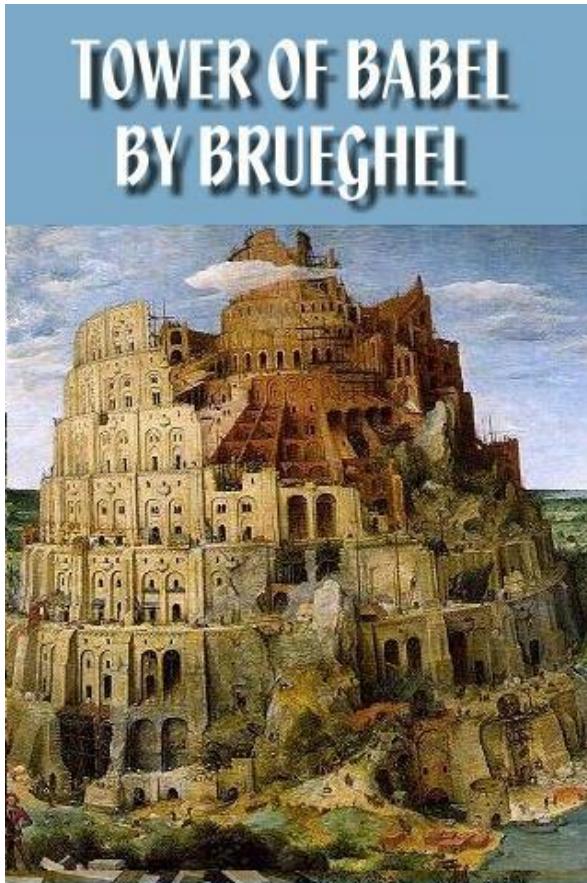


- Introduction
  - Fundamental issues
  - MBSE and UPDM
- UPDM 3.0 RFP requirements
- Why a UAF ?
- The Benefits of UAF
- Summary

# The Tower of Babel

## A Communications Fable for our Time

Ancient



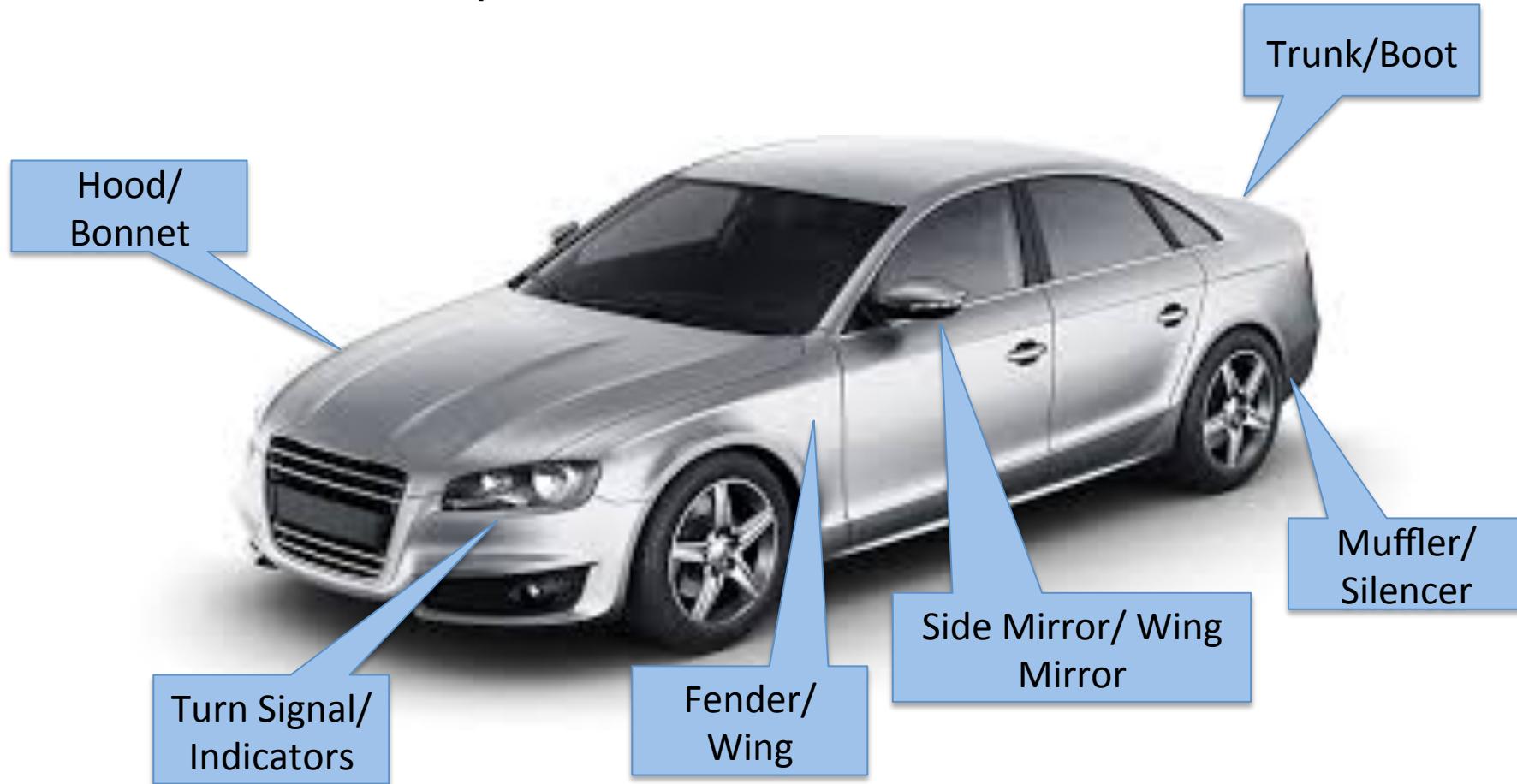
Modern



Does this solve the problem?

# USA/UK: Two Countries Separated by a Common Language

- Even speaking the same language doesn't always help. For example the different names for car parts:



# A US Military Example

- Secure a building

Navy: turn off the lights and lock the doors



Army: occupy the building so no one can enter.



Marines: assault the building, capture it, and defend it with suppressive fire and close combat.

Air Force: take out a three-year lease with an option to buy.

So, if communication is hard with spoken language, are models the answer?



# The Afghanistan Mission Network (AMN)

NATO Consultation, Command and Control Agency

Agence de Consultation, de Commandement et de Conduite des Opérations de l'OTAN

Reference Document 3195



## DEVELOPMENT OF THE AMN ARCHITECTURE IN 2010 – LESSONS LEARNED

June 2011

The Hague

**Torsten Graeber, NATO C3 Agency**



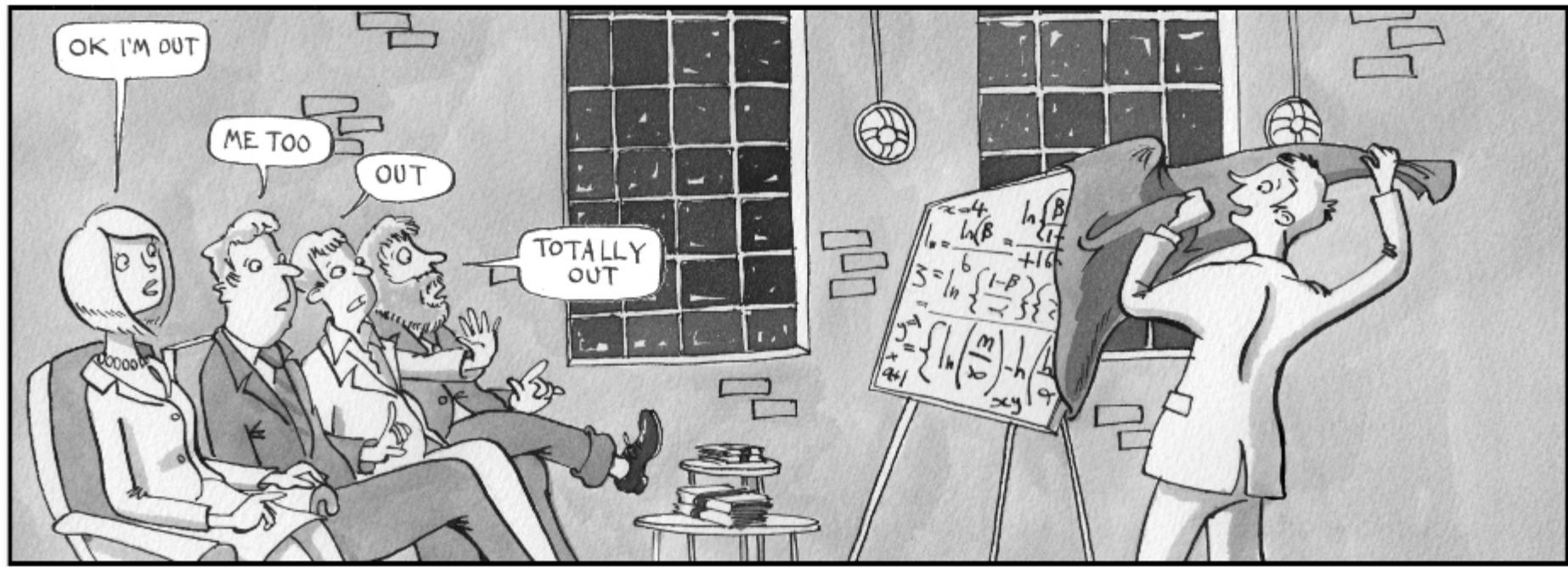
# What is the AMN?

- The Afghanistan Mission Network (AMN) is the primary Coalition Command, Control Communication and Computers Intelligence, Surveillance and Reconnaissance (C5ISR) network in Afghanistan for all ISAF forces and operations. It is a federation of networks with the AMN Core provided by NATO and national network extensions.
- Planning for the AMN is supported by a multi-national, collaborative effort to develop and maintain the enterprise architecture for the AMN.
- This document is a working paper that may not be cited as representing formally approved NC3A opinions, conclusions or recommendations.

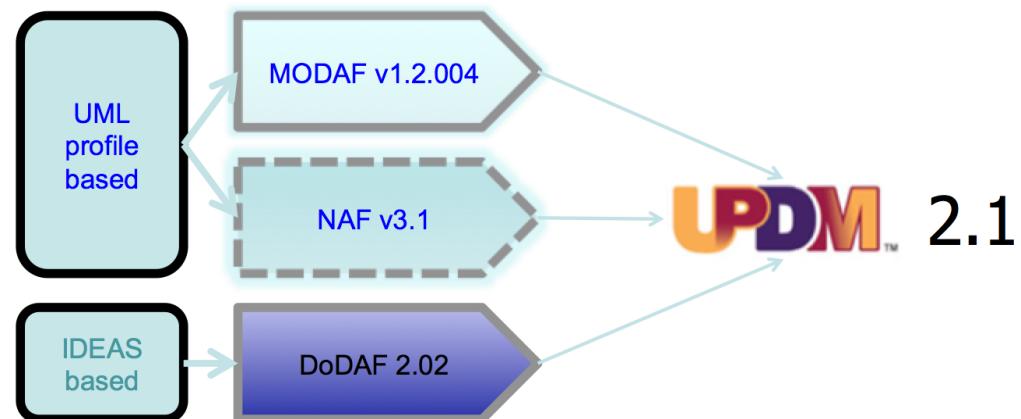
# AMN Issues

- These issues included:
  - Different expectations on content and usage of the architecture leading to ever changing requirements and deliverables
  - No enforcement of the architecture during implementation
  - Usage of different architecture frameworks
  - Usage of different architecture tools.
  - No interchange between the tools
- In late 2010, a governance structure for the AMN was endorsed by Chief Of Staff SHAPE and the AWG was included in this governance structure. As a direct consequence, the situation regarding clearer expectations, deliverables and enforcement of architecture has been improved in 2011.
- **However, as the architects are sponsored by their respective nations they have to implement national policies and requirements, so that improvements regarding the usage of a single framework and tool are not to be expected.**

# Skinning

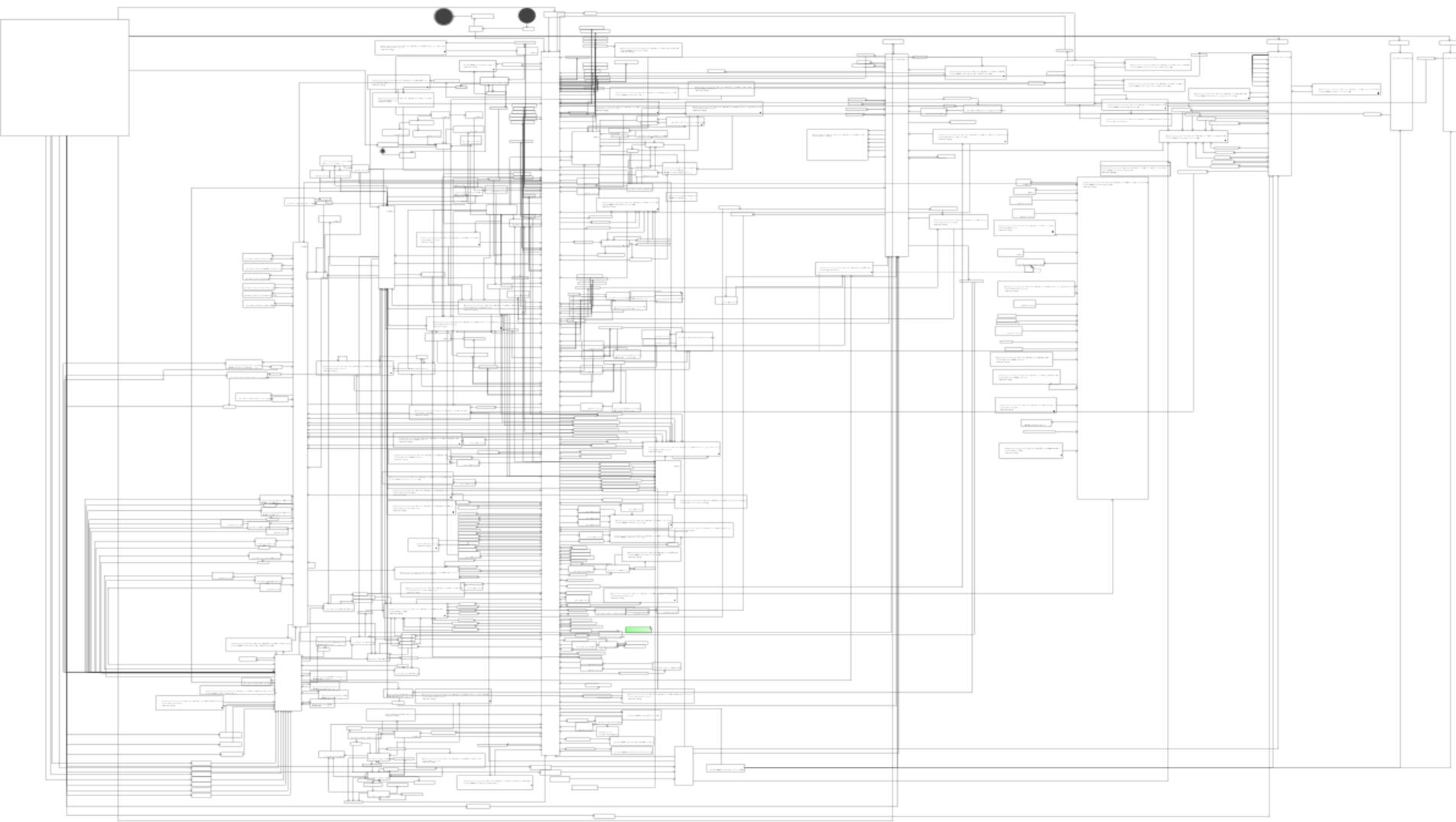


- Pictures paint a thousand words
  - Visio is good at this
  - Language is not controlled
- Modeling languages add semantics and constraints
  - Control what is being said and how it is said
- SysML is a common language of expression that captures
  - Structure
  - Behaviour
  - Requirements
    - Functional
    - Non Functional
- Models can be quantifiable and executable



- Meta model coherence
  - Same meta-model,
  - Different presentation layers
- Took an MBSE approach
- UPDM users could choose between a pure UML or UML and SysML approach.
- UPDM contained both a profile and a domain meta-model

# Picture worth a thousand words?



# MBSE and Engineering Analysis

## Why is UPDM so popular with practitioners of MBSE?

- No standardized frameworks for MBSE exist
- Integration with existing OMG standards, e.g. SysML, UML
- Tool vendors driven: Implemented in most popular modeling tools:  
IBM Rhapsody, No Magic MagicDraw, PTC Integrity Modeler
- Industry and government supported

## Common repository (Integrated Architecture Repository)

- Application of engineering analysis methods
  - Impact Analysis
  - Coverage Analysis
  - Trade-off Analysis
  - Behavioral execution
  - Requirements compliance analysis
  - Model-based testing
- Interoperability

# Adoption

- **Defense:**
  - Used by DOD and its contractors on various MBSE and IT projects
  - Being picked up outside of the US
    - Used in Europe, Australia, Asia, S. America
- **Industry and Government (external to Defense):**
  - European research projects (DANSE, COMPASS)
  - Starting to be looked at by US and European industrial companies familiar with MBSE
  - NASA, CACI, etc.
  - Starting to be looked at for modeling business processes, information systems architectures
- **Industry needs:**
  - Demilitarization / Industrialization
  - Wider scope (SoS, Human Views etc.)

# UAF Requirements

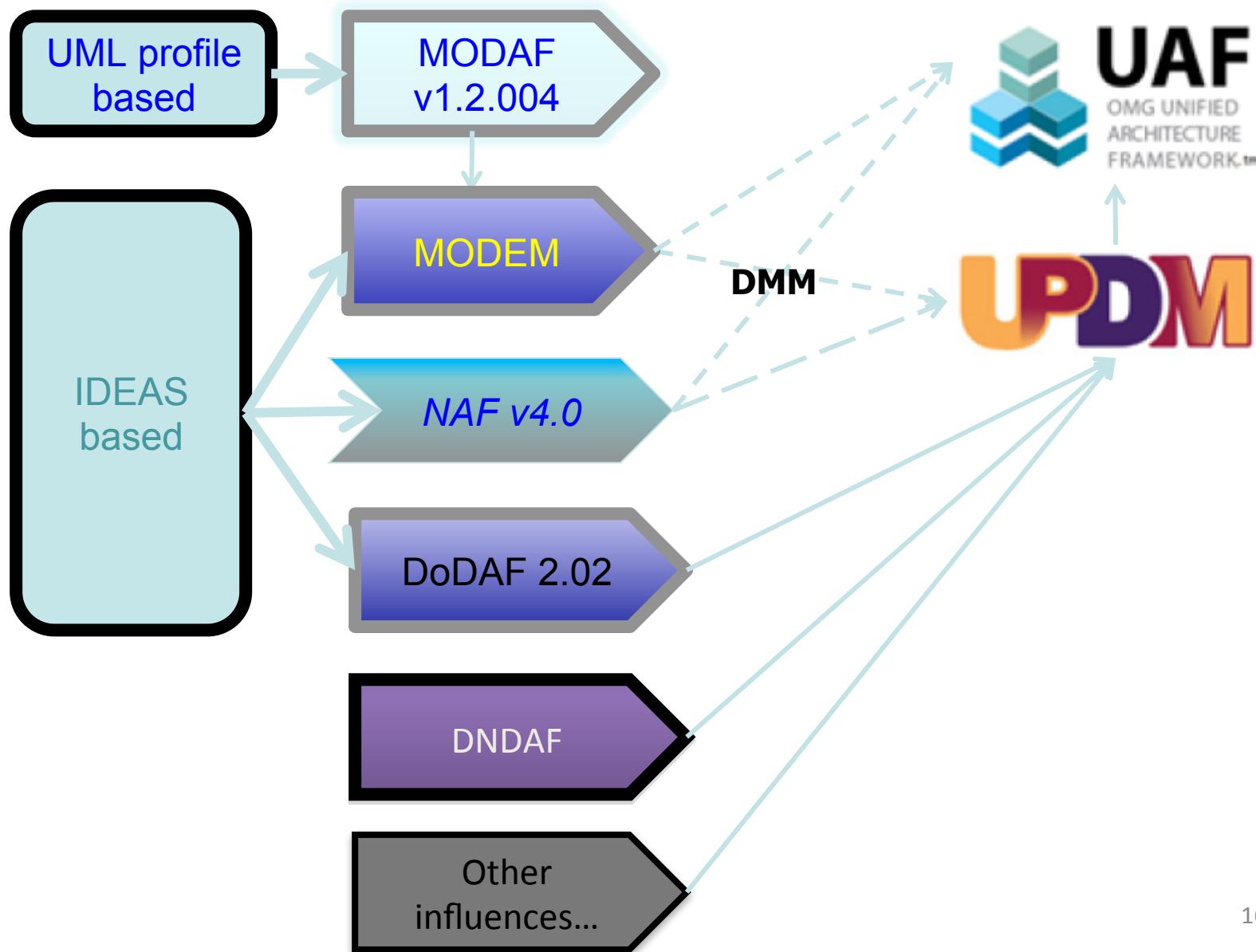


- UPDM RFP requirement: " The UAF V1.0 domain metamodel shall be derived from MODEM and DM2, both of which are based upon the International Defence Enterprise Architecture Specification Foundation [IDEAS]."
  - Mandatory requirements (excerpt):
    - Provide Domain Metamodel derived from MODEM and DM2
    - An Architecture Framework Profile Using SysML
    - Supports BPMN 2.0
    - Use of SysML Requirements Elements and Diagrams
    - Use of SysML Parametrics Elements and Diagrams Mapped to Measurements
    - Traceability Matrix to Supported Frameworks
  - Non mandatory features (excerpt):
    - UML Profile for NIEM
    - Information Exchange Packaging Policy Vocabulary (IEPPV)
    - Viewpoints in Support of SoS Life Cycle Processes and Analyses
    - Support for Additional Viewpoints beyond those defined in DoDAF, MODAF/ MODEM, NAF, and the Security Viewpoint from DNDAF.
    - Human Systems Integration (HSI)

# Why a Unified Architecture Framework and a Profile (UAFP)

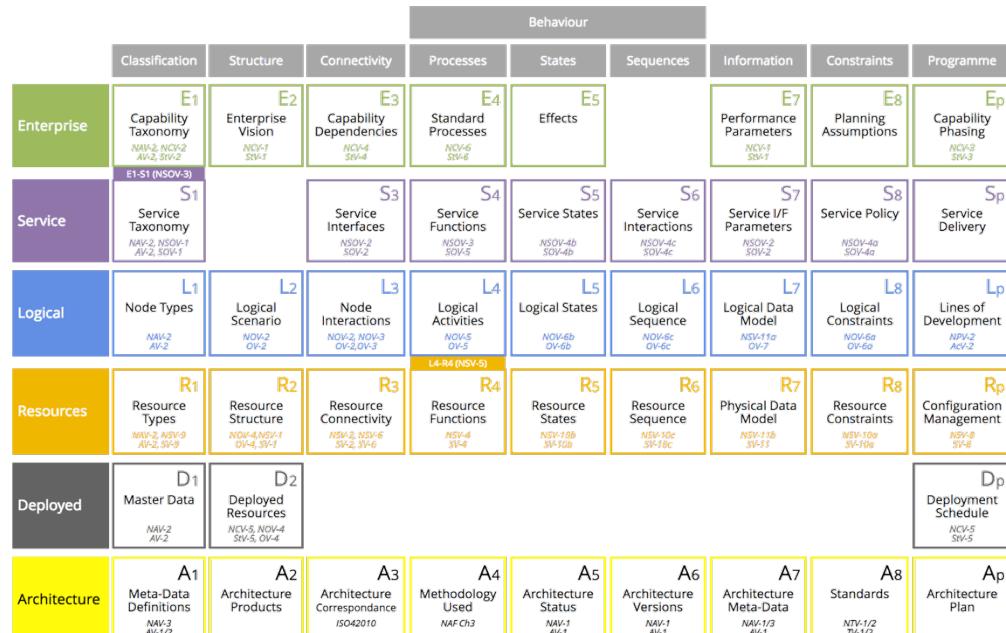


- Proliferation of frameworks that it was being asked to support
- Need to support industry and federal usage as well as military
  - Make the framework more generic
- Ability to support other frameworks
  - By Extension
  - By Mapping
- Need to support DMM that non-UML tool vendors could support
- Need to support a standard profile that can be used to implement the UAF in UML/SysML tool



# UAF/P Grid Representation

- Took inspiration from NAF 4
- Genericize UPDM
  - Still the same underlying metamodel and view constructs that support
    - DoDAF
    - MODAF
    - NAF
  - Different presentation layer
- Very hard to manage the views with so many contributing frameworks
  - Lead to very complex mapping tables
  - Unwieldy descriptions
- Possible to map many other frameworks onto the MM
  - HIS views and SoS views
- Possible to support other frameworks



	Taxonomy Tx	Structure Sr	Connectivity Cn	Processes Pr	States St	Interaction Scenarios Is	Information If	Parameters Pm	Constraints Ct	Roadmap Rm	Traceability Tr
<b>Metadata Md</b>	Metadata Taxonomy Md-Tx	Architecture Viewpoints <sup>a</sup> Md-Sr	Metadata Connectivity Md-Cn	Metadata Processes <sup>a</sup> Md-Pr	-	-	Conceptual Data Model, Logical Data Model, Physical schema, real world results	Environment Pm-En Measurements Pm-Me	Metadata Constraints <sup>a</sup> Md-Ct		Metadata Traceability Md-Tr
<b>Strategic St</b>	Strategic Taxonomy St-Tx	Strategic Structure St-Sr	Strategic Connectivity St-Cn	-	Strategic States St-St	-			Strategic Constraints St-Ct	Strategic Deployment, St-Rm Strategic Phasing St-Rm	Strategic Traceability St-Tr
<b>Operational Op</b>	Operational Taxonomy Op-Tx	Operational Structure Op-Sr	Operational Connectivity Op-Cn	Operational Processes Op-Pr	Operational States Op-St	Operational Interaction Scenarios Op-Is			Operational Constraints Op-Ct	-	-
<b>Services Sv</b>	Service Taxonomy Sv-Tx	Service Structure Sv-Sr	Service Connectivity Sv-Cn	Service Processes Sv-Pr	Service States Sv-St	Service Interaction Scenarios Sv-Is			Service Constraints Sv-Ct	Service Roadmap Sv-Rm	Service Traceability Sv-Tr
<b>Personnel Pr</b>	Personnel Taxonomy Pr-Tx	Personnel Structure Pr-Sr	Personnel Connectivity Pr-Cn	Personnel Processes Pr-Pr	Personnel States Pr-St	Personnel Interaction Scenarios Pr-Is	Physical schema, real world results	Measurements Pm-Me	Competence, Drivers, Performance Pr-Ct	Personnel Availability, Personnel Evolution, Personnel Forecast Pr-Rm	Personnel Traceability Pr-Tr
<b>Resources Rs</b>	Resource Taxonomy Rs-Tx	Resource Structure Rs-Sr	Resource Connectivity Rs-Cn	Resource Processes Rs-Pr	Resource States Rs-St	Resource Interaction Scenarios Rs-Is			Resource Constraints Rs-Ct	Resource evolution, Resource forecast Rs-Rm	Resource Traceability Rs-Tr
<b>Security Sc</b>	Security Taxonomy Sc-Tx	Security Structure Sc-Sr	Security Connectivity Sc-Cn	Security Processes Sc-Pr	-	-			Security Constraints Sc-Ct	-	-
<b>Projects Pj</b>	Project Taxonomy Pj-Tx	Project Structure Pj-Sr	Project Connectivity Pj-Cn	Project Activity Pj-Pr	-	-	Simulation b	Parametric Execution/Evaluation b	-	Project Roadmap Pj-Rm	Project Traceability Pj-Tr
<b>Standards Sd</b>	Standard Taxonomy Sd-Tx	Standards Structure Sd-Sr	-	-	-	-			-	Standards Roadmap Sr-Rm	Standards Traceability Sr-Tr
<b>Actuals Resources Ar</b>		Actual Resources Structure, Ar-Sr	Actual Resources Connectivity, Ar-Cn						Parametric Execution/Evaluation b	-	-

nary \* Dc

ary & Overview SmOv

## Requirements Rq

# Benefits of UAF

- UAF goes beyond DoDAF/MODAF/NAF
- Actual Resources are instance models of the architecture that allow
  - Dynamic simulation/execution
    - Verify behavior,
      - State, Activity level, message sequences
    - Verify interfaces
  - Computational Analysis
    - Parametric analysis
      - Trade studies and Architecture optimization
- Security Layer included for Information assurance
  - Aligned to NIST/DOD
  - Being related to an OMG Threat Risk modelling standard
- Requirements can be defined and related to all parts of the architecture

# Benefits of UAF

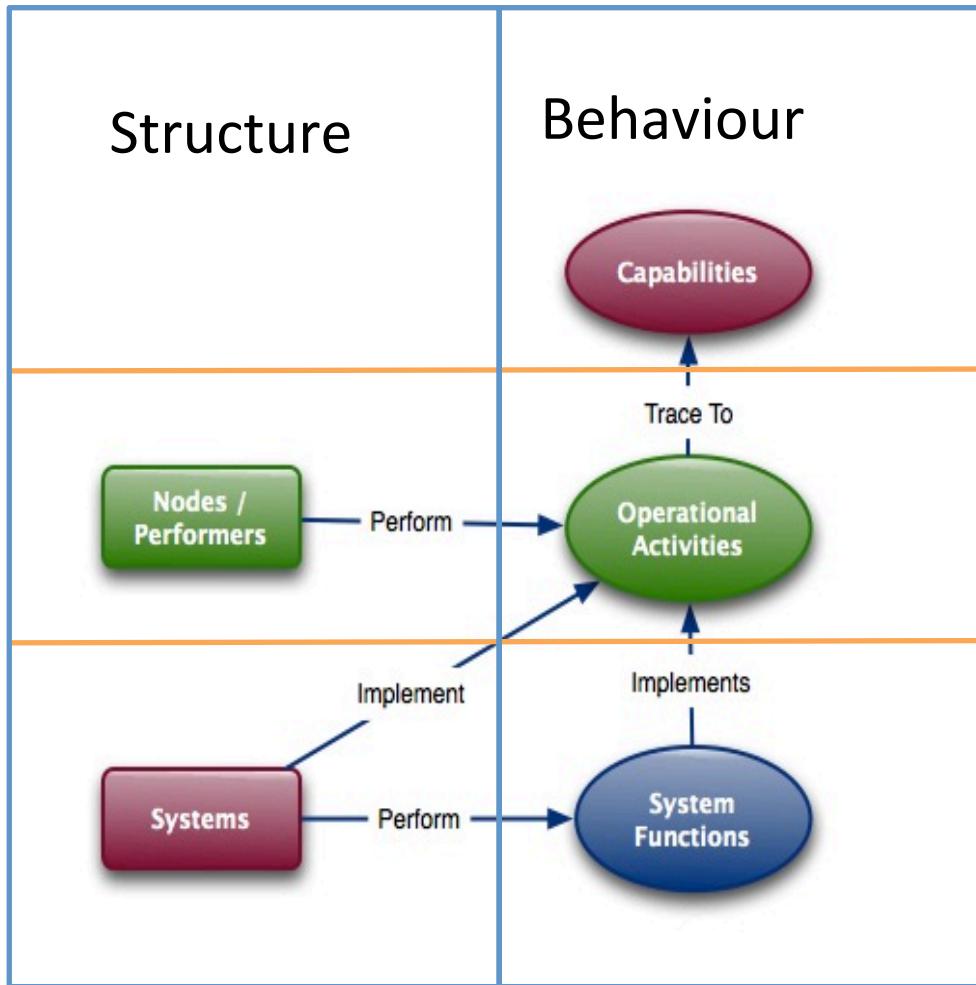
- Allows a mapping to an MBSE approach based on SysML
  - Same pattern applied across
    - Operational
    - Resources
    - Services
    - Personnel
  - Similar pattern applied to Security and Projects
- Cross cutting concerns
  - Information models
  - Parameters defining measurements
- Provides a
  - Standard framework for defining many different aspects of complex architectures
- SysML is a dictionary and UAF is a template for a book

	Taxonomy Tx	Structure Sr	Connectivity Cn	Processes Pr	States St	Interaction Scenarios Is	Information If	Parameters Pm	Constraints Ct	Roadmap Rm	Traceability Tr
Metadata Md	AV-3				-	-					
Strategic St	StV-2	StV-1	StV-4	-		-			StV-5		StV-6
Operational Op	OV-1a OV-2	OV-2	OV-2/ OV-3	OV-5	OV-6b	OV-6c			OV-6a	-	-
Services Sv	SOV-1	SOV-1	SOV-2	SOV-5	SOV-4b	SOV-5c	OV-7	Environment OV-1c SV-7	SOV-4a		SOV-3, OV-5
Personnel Pr	OV-4 Typical	OV-4	SV-6	SV-4	SV-10b	SV-10c	SV-11			AcV-2	
Resources Rs	SV-1, SV-2	SV-1, SV-2	SV-3	SV-4	SV-10b	SV-10c			OV-4 Typical	SV-8	SV-5
Security Sc					-	-				SV-9	
Projects Pj	AcV-1	AcV-1	AcV-2	-	-	-				AcV-2	
Standards Sd	TV-1	TV-1	-	-	-	-				SV-9	TV-1
Actuals Resources Ar		OV-4 Actual	OV-4 SV-1 & SV-2		Simulation <sup>b</sup>				Parametric Execution/ Evaluation <sup>b</sup>	-	-

## dictionary \* Dc (AV-2)

## Summary & Overview SmOv (AV-1)

# UAF On One Slide



High Level  
Need

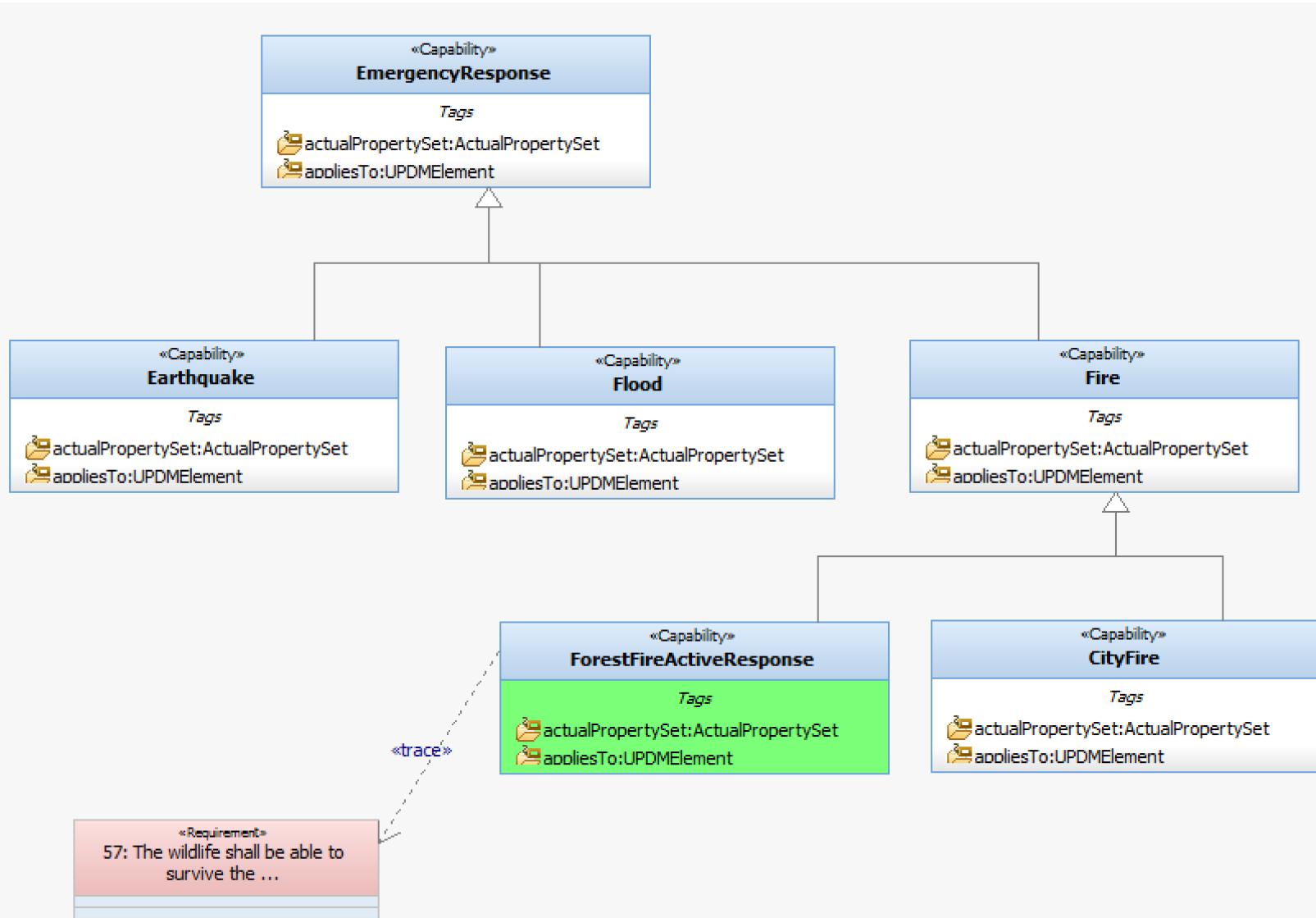
WHAT  
Functional/  
Organisational

How  
Physical

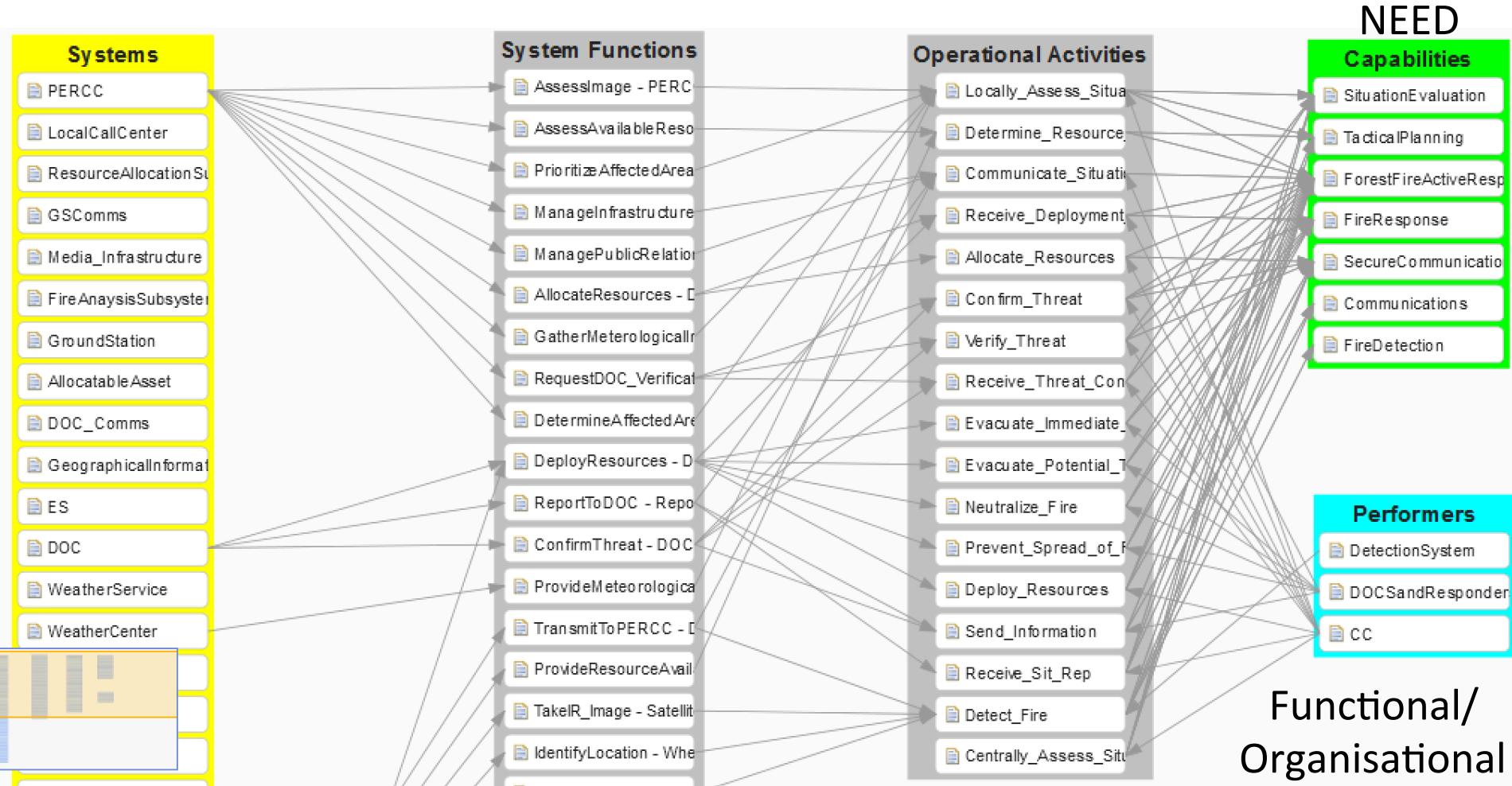
# High Level Operational Concept



# Capability Taxonomy



# UAF on one Page and a bit



Physical  
Structure

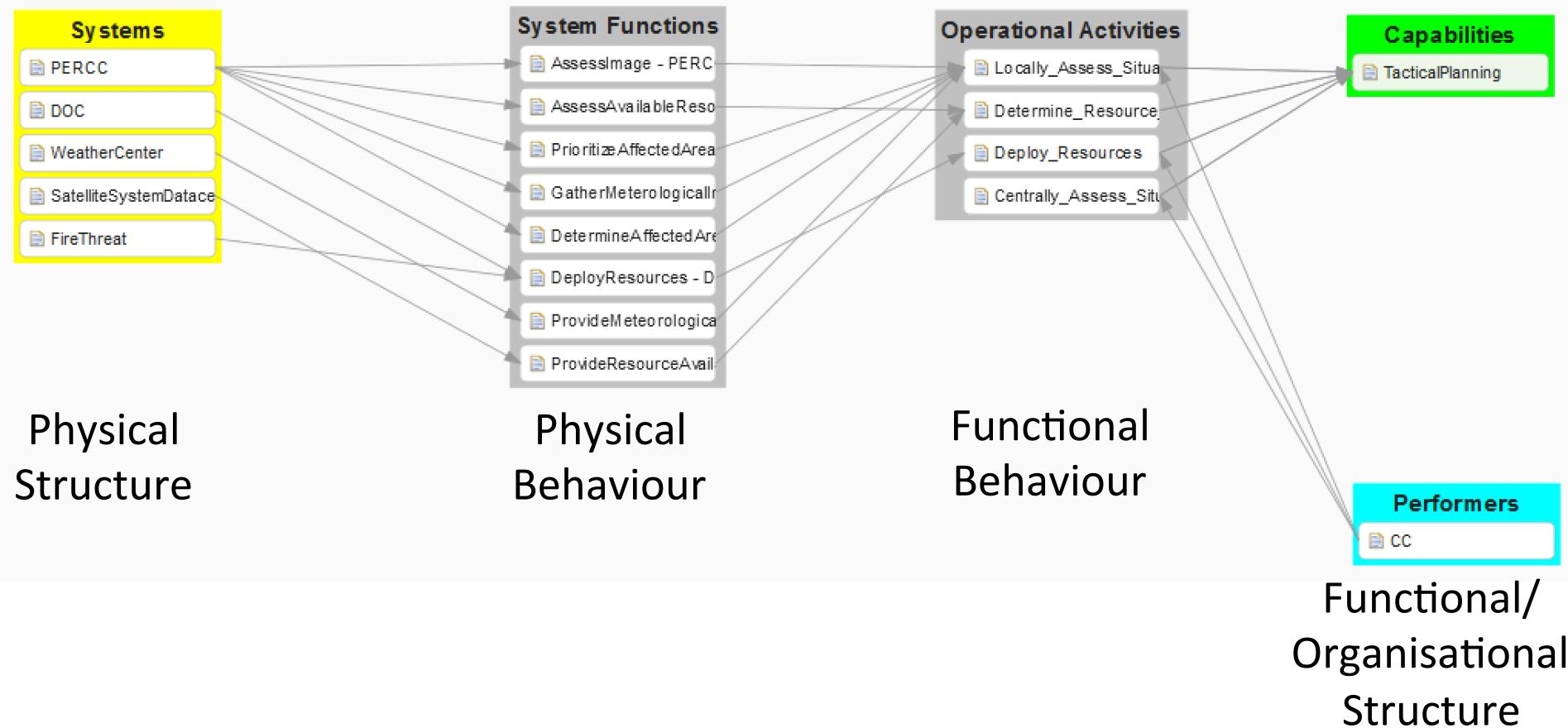
Physical  
Behaviour

Functional  
Behaviour

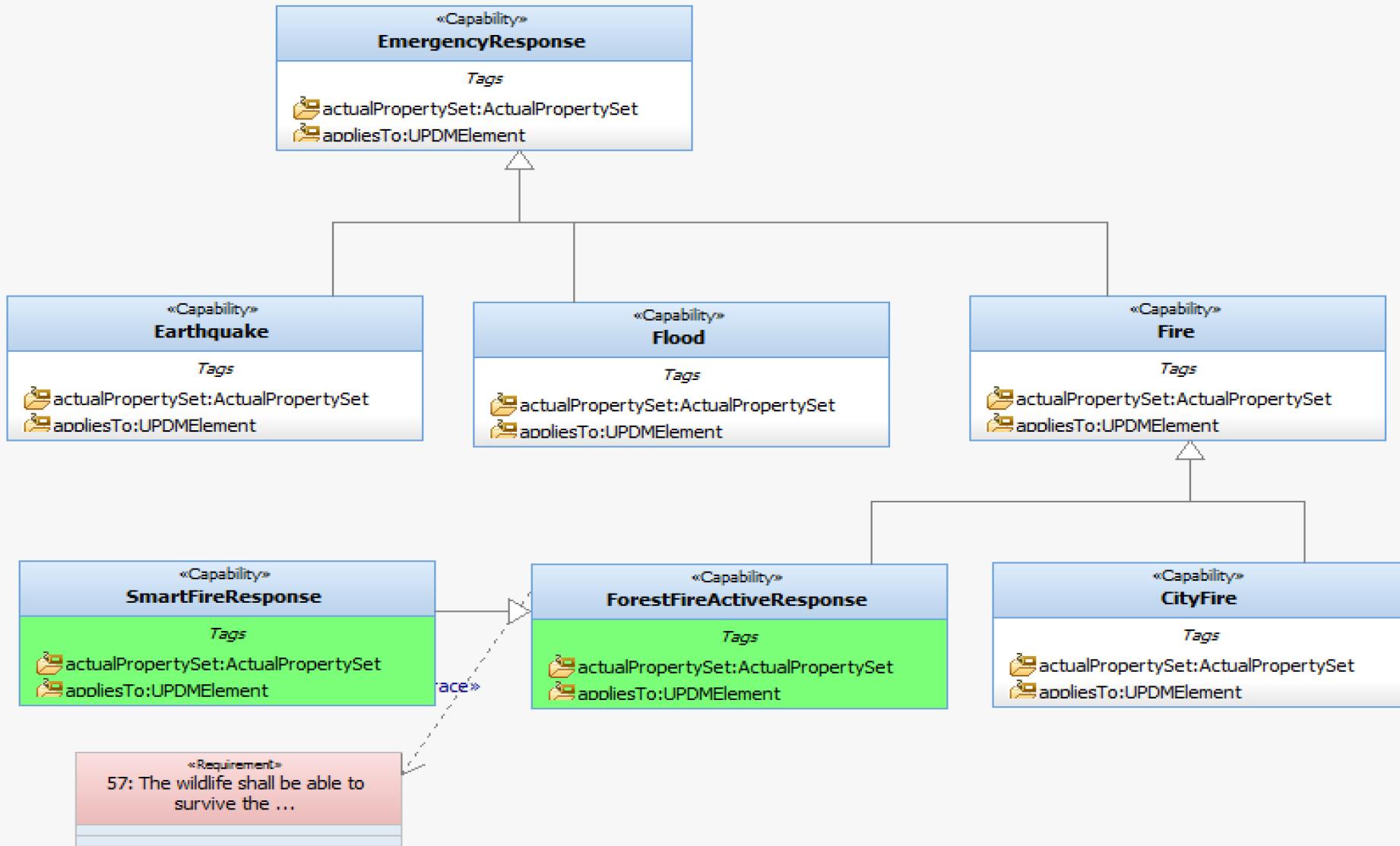
Functional/  
Organisational  
Structure

# Capability to Systems

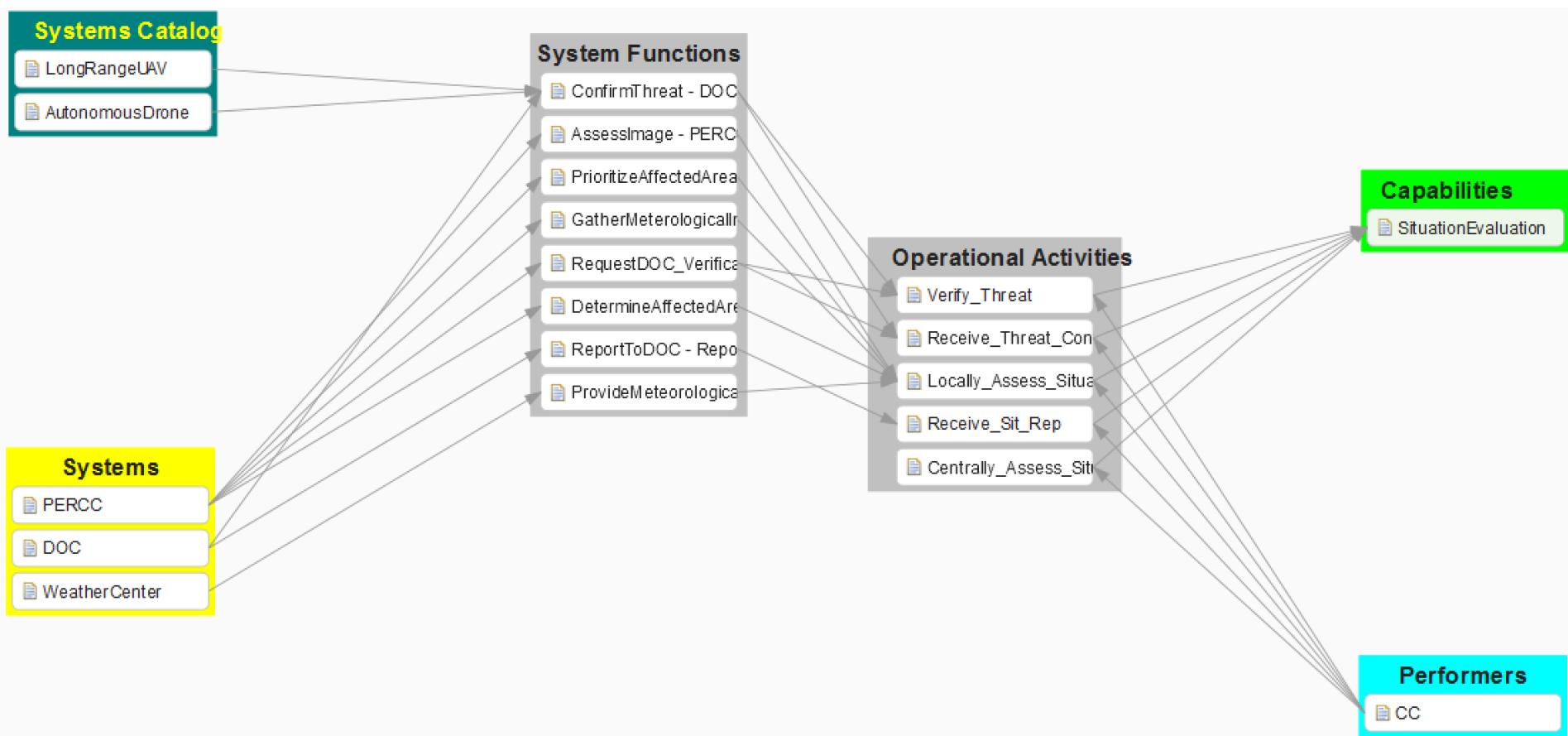
NEED



# Capability Taxonomy V2



# New RELM View from smart capabilities



- Voted for acceptance at June 2016 OMG technical meeting
- Specification consists of 4 major parts
  - UAfp, Profile and Metamodel specification
  - UAF, Domain Metamodel
  - Traceability to donor frameworks and metamodels
  - Sample problem based on Search and Rescue
- Finalization Task Force expected to complete at June 2017

# Summary and conclusion

- UAF has the potential to improve communication, collaboration and interoperability between
  - Nations
  - Government and Industry
  - Industry to Industry
- Grid approach allows different industries to reuse, extend or create new views appropriate to them (Fit for purpose)
- New technologies can and will be applied to extend the use of UAF architectures to enable
  - Architecture Federation
  - Tool Federation
  - Improved interoperability
- Improving the discovery and reuse of architectural artifacts

# Thank You!

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IBM

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