



**32<sup>nd</sup>** Annual **INCOSE**  
international symposium

hybrid event

Detroit, MI, USA  
June 25 - 30, 2022



# Systems Engineering Competency Expectations, Gaps, and Program Analysis

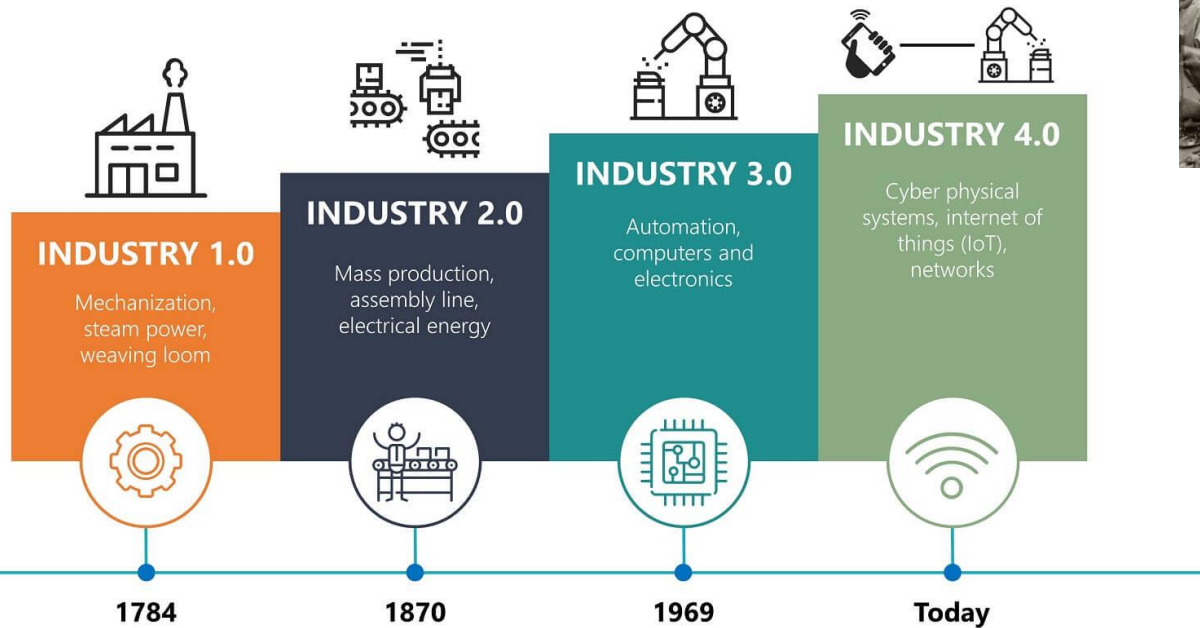
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University of California, San Diego

# Entering Industry 4.0



Moving from Education 2.0



To Education 4.0

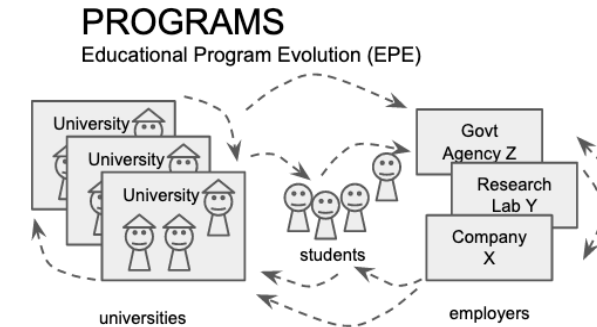


# Dynamically Adaptive Education

	Program & Course Design	Classroom Experience	Individual Learning
Graduate Students	<ul style="list-style-type: none"><li>- Institution application &amp; enrollment</li><li>- Degree/major selection</li><li>- Course selection</li><li>- Class/instructor selection</li></ul>	<ul style="list-style-type: none"><li>- Determination of when and how to study</li><li>- Selection of learning materials (lectures, reading, videos, etc.)</li><li>- Determination of where to work to use skills</li></ul>	<ul style="list-style-type: none"><li>- Determination of personal interests</li><li>- Development of knowledge production skills (e.g., Web search)</li><li>- Selection of online resources for knowledge acquisition</li></ul>
	Program & Course Design	Classroom Experience	
Academic Institution & Instructors	<ul style="list-style-type: none"><li>- Program topic and target student selection</li><li>- Program learning outcomes and objectives specification</li><li>- Course topic: outcomes and objectives specification</li><li>- Course design: curricula, pedagogy, and assessment</li><li>- Determination of which educational applications to provide to instructors</li><li>- Determination of whom to admit into programs and classes</li><li>- Allocation of resources, including assignment of instructors to classes, room assignments, lab, funding of TA's, tutors, graders</li><li>- Determination of tuition and other educational costs</li></ul>	<ul style="list-style-type: none"><li>- Determination of how to present materials</li><li>- Determination of which educational applications to use in instruction</li><li>- Determination of how to answer questions and interact with students</li><li>- Determination of how to update and add to course materials</li><li>- Determination of how to assess students and provide feedback</li><li>- Determination and application of grading policy</li></ul>	
	Program & Course Design		
Employers	<ul style="list-style-type: none"><li>- Determination of necessary skills for employees in the workplace</li><li>- Determination of which schools to support with partnerships and funding</li><li>- Determination of which schools to actively recruit students</li><li>- Determination of which students to interview</li><li>- Determination of which students to hire as interns, coops and permanent employees</li><li>- Determination of which programs are eligible for professional education reimbursement</li><li>- Determination of which employees to reimburse for professional education</li></ul>		

INCOSE IS 2022

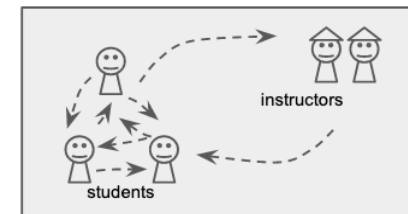
INCOSE IS 2022



Diversifying and expediting feedback exchange between students, universities, and employers to accelerate the evolution of educational programs.

## CLASSROOMS

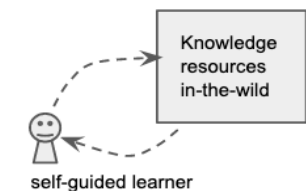
Social Real-time Learning (SLR)



Making the feedback loop b/n students and instructors more relevant, timely, automated and actionable

## INDIVIDUALS

Personalized Knowledge Discovery (PKD)



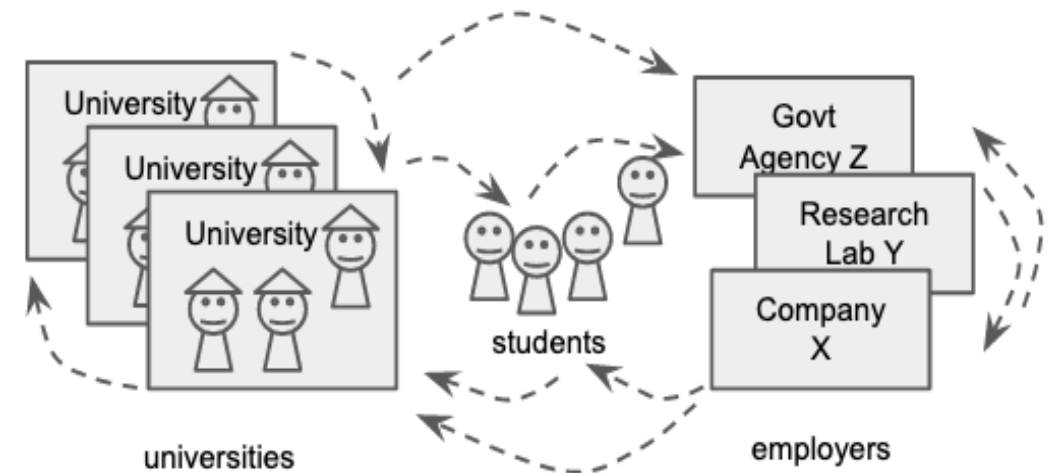
Creating and testing new feedback mechanisms to support self-guided learning by graduate students

# Educational Program Evolution

**Objective:** Create the feedback loops by which educators, students and employers can communicate their specific educational capabilities, desires and needs, to provide the dynamic feedback necessary to accelerate the evolution of educational programs.



## Systems Engineering Education Ecosystem (SEEE)



Diversifying and expediting feedback exchange between students, universities, and employers to accelerate the evolution of educational programs.



# Our Values

- ***Preserve the uniqueness of SE programs*** that speak to particular varieties of students and professions
- ***Promote commonality*** among programs where it is beneficial
- ***Provide support for program evolution to adapt to changing needs, and thereby***
- ***Enhance the value of all of our programs.***



# Competency Framework Requirements

- Focus is on systems graduate education
- Structure should reflect academic classifications
- Need to capture competencies across multiple disciplines:
  - Systems Engineering
  - Industrial Engineering
  - Operations Research
  - Data analytics
  - Engineering Management
- Should provide balanced view of competencies
- Taxonomy should aid in easy navigation
- Language should be jargon free and clear to students/practitioners, employers and academics

# INCOSE Competency Framework

Understand	Lead	Realize	Design
CORE COMPETENCIES	PROFESSIONAL COMPETENCIES	MANAGEMENT COMPETENCIES	TECHNICAL COMPETENCIES
Core competencies underpin engineering as well as systems engineering.	Behavioral competencies well-established within the Human Resources (HR) domain. To facilitate alignment with existing HR frameworks, where practicable, competency definitions have been taken from well-established, internationally-recognized definitions rather than partial or complete re-invention by INCOSE.	The ability to perform tasks associated with controlling and managing Systems Engineering activities. This includes tasks associated with the Management Processes identified in the INCOSE SE Handbook.	The ability to perform tasks associated primarily with the suite of Technical Processes identified in the INCOSE SE Handbook.
Systems Thinking	Communications	Planning	Requirements Definition
Lifecycles	Ethics and Professionalism	Monitoring and Control	System Architecting
Capability Engineering	Technical Leadership	Decision Management	Design for...
General Engineering	Negotiation	Concurrent Engineering	Integration
Critical Thinking	Team Dynamics	Business and Enterprise Integration	Interfaces
Systems Modeling and Analysis	Facilitation	Acquisition and Supply	Verification
	Emotional Intelligence	Information Management	Validation
	Coaching and Mentoring	Configuration Management	Transition
		Risk and Opportunity Management	Operation and Support
INTEGRATING COMPETENCIES	Project Management	Logistics	
	Finance	Quality	

# Competency Areas

**Lead** – Personal and interpersonal competencies related to emotional IQ, ethics and professionalism, critical thinking, teamship and communication skills. These capabilities serve to amplify the impact of the other competencies. This is traditionally the domain of the Arts and Humanities.

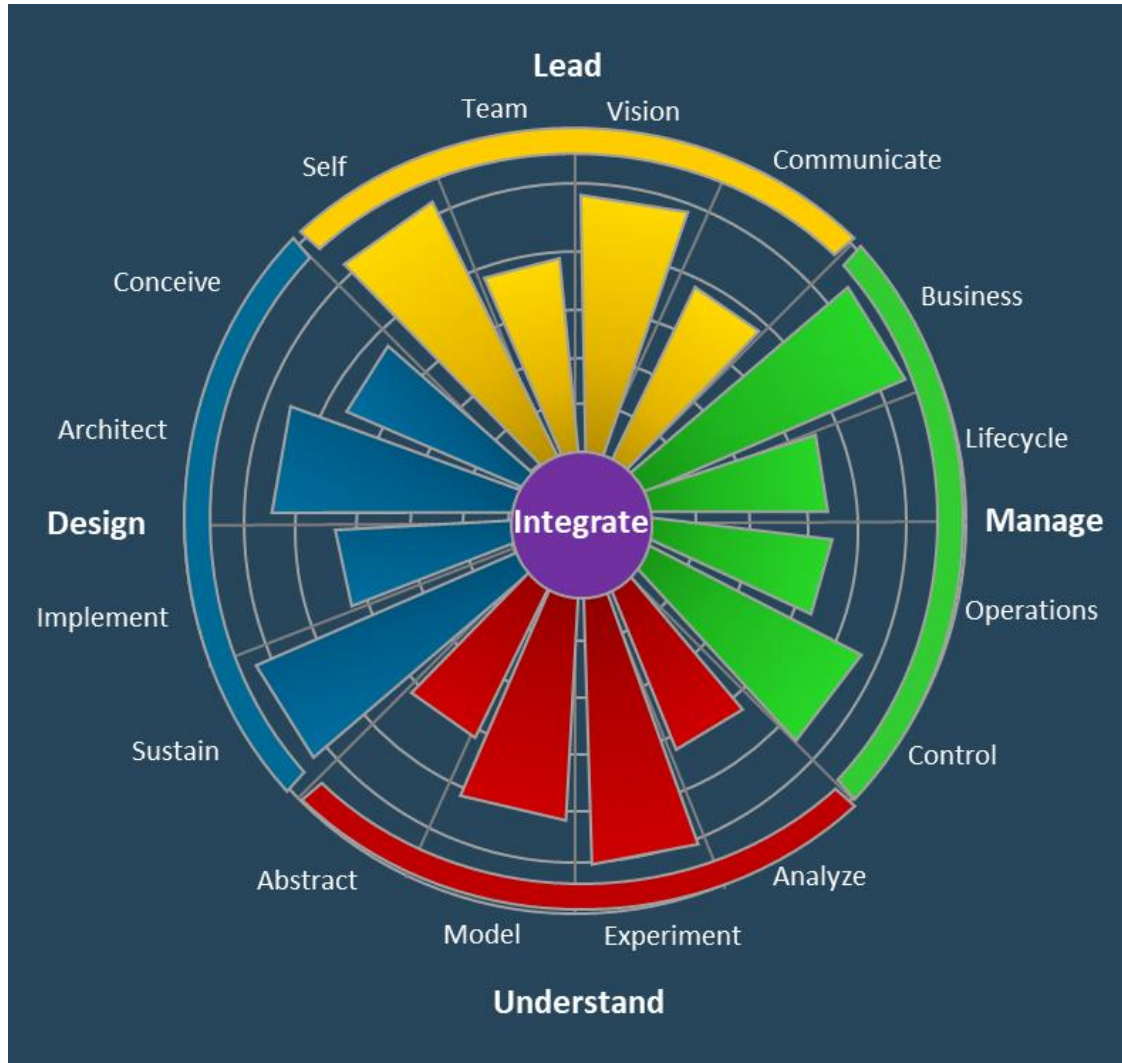
**Design** – Synthesis competencies that enable system design through the lifecycle of conceptualization, architecture, implementation and sustainment. These competencies support the creative design process including design thinking, engineering design, and systems engineering. This is traditionally the domain of Engineering.

**Understand** – Analytical competencies relating to understanding, creating and using systems models, including systems thinking, modeling and simulation, experimentation, and analysis and decision making. These competencies provide support for decision making. This is traditionally the domain of the Sciences.

**Realize** – Management and control competencies that support the actual realization of systems including business fundamentals, lifecycle management, monitoring and control, and operations. These competencies enable the realization and execution of the engineering of systems. This is traditionally the domain of Business and Management



# SE Competency Framework



Focus on the discipline of Systems Engineering (SE) which in its nascence is extremely broad, ill-defined, and rapidly changing.

- Superset of INCOSE framework
- Consists of 37 base competencies
- Five proficiency levels:
  - Awareness
  - Supervised Practitioner
  - Practitioner
  - Lead Practitioner
  - Expert
- Reviewed with employers, academia, and practitioners

# SE Competency Survey

- Survey created to record the needs of Jacobs School of Engineering's Corporate Affiliate Program (CAP) corporate partners for systems competencies both for engineering new college graduates and early to mid-career engineers who are working in their organizations.
- For each of these two categories of employees, we requested the CAP sponsors to note their desired and estimated actual professional competency proficiency levels for new college graduates (NCGs) and early to mid-career engineers (MCEs) using a five-point Likert scale for each of the systems competencies.
- An online survey was created that includes 37 competencies, each with five proficiency levels. The respondents were given the INCOSE SE competency framework documentation which contains the definitions of the proficiencies for each competency. Only integer values were entered by the respondents. All of the data is based solely on the perceptions of the respondents.
- There were 17 complete survey responses from 11 different CAP sponsors. These sponsors represent the Aerospace and Defense, Computer Software, Energy Solutions, Information Technology Services, National Research Laboratory, Network and other Communications Equipment, and Scientific Equipment industries. It should be noted that these survey results are not intended to represent industry wide needs, but rather are only intended to pertain to the specific needs of the major sponsors of this educational program.

# Proficiency Expectations

Proficiency Expectations and Gaps for SE Competencies vs. General Engineering.

	NCG			MCE		
	Desired	Actual	Gap	Desired	Actual	Gap
SE Competency Average	1.69	1.04	0.63	3.41	2.62	0.80
Lead	2.01	1.40	0.62	3.49	2.69	0.82
Understand	2.01	1.16	0.82	3.62	2.61	0.98
Design	1.44	0.92	0.49	3.42	2.76	0.66
Realize	1.29	0.69	0.57	3.13	2.44	0.72
<i>General Engineering</i>	2.28	1.82	0.41	3.76	3.19	0.56

# Lead Competency Results

## Lead Competency Results

LEAD	SubArea	ID	INCOSE Competencies	NCG (Mean)			MCE (Mean)		
				D	A	G	D	A	G
	Self/Ethics	L1.1	Emotional Intelligence	2.11	1.53	0.65	3.44	2.76	0.76
	Self/Ethics	L1.2	Ethics & Professionalism	2.78	1.82	1.00	3.94	3.18	0.82
	Vision/Strategy	L2.1	Technical Leadership	1.72	1.18	0.53	3.59	2.75	0.88
	Vision/Strategy	L2.2	Critical Thinking	2.50	1.82	0.65	3.71	3.13	0.56
	Team	L3.1	Team Dynamics	2.33	1.82	0.47	3.47	2.63	0.81
	Team	L3.2	Facilitation	1.67	1.18	0.47	3.35	2.38	0.94
	Communication	L4.1	Communications	2.39	1.41	1.00	3.65	2.75	0.94
	Communication	L4.2	Negotiation	1.44	0.94	0.53	3.12	2.31	0.81
	Communication	L4.3	Coaching and Mentoring	1.17	0.88	0.29	3.12	2.38	0.81
	Mean			2.01	1.40	0.62	3.49	2.69	0.82
	Std Dev			0.54	0.38	0.24	0.27	0.31	0.11



# Understand Competency Results

## Understand Competency Results

UNDERSTAND	SubArea	ID	INCOSE Competencies	NCG (Mean)			MCE (Mean)		
				D	A	G	D	A	G
	Abstraction	U1.1	Systems Thinking	2.28	1.00	1.24	3.76	2.75	1.00
	Experimentation	U2.1	Design of Experiments*	2.06	1.29	0.76	3.53	2.69	0.81
	Modeling	U3.1	Systems Modeling & Analysis	1.89	1.24	0.65	3.65	2.44	1.19
	Analysis & Decisi	U4.1	Decision Management	1.83	1.12	0.65	3.53	2.56	0.94
	General Engineer	N/A	General Engineering	2.28	1.82	0.41	3.76	3.19	0.56
	Mean			2.01	1.16	0.82	3.62	2.61	0.98
	Std Dev			0.20	0.13	0.28	0.11	0.14	0.16

# Design Competency Results

## Design Competency Results

DESIGN	SubArea	ID	INCOSE Competencies	NCG (Mean)			MCE (Mean)		
				D	A	G	D	A	G
	Conceive	D1.1	Capability Engineering	1.25	0.80	0.40	3.25	2.33	0.87
	Conceive	D1.2	Requirements Definition	1.75	1.13	0.60	3.88	3.27	0.60
	Architect & Design	D2.1	System Architecting	1.38	0.87	0.47	3.44	2.40	1.00
	Architect & Design	D2.2	Design for...	1.38	0.73	0.60	3.19	2.53	0.67
	Architect & Design	D2.3	Interfaces	1.63	1.20	0.40	3.50	2.87	0.67
	Implement	D3.1	Integration	1.50	1.07	0.40	3.50	3.00	0.53
	Implement	D3.2	Verification	1.56	1.00	0.53	3.56	3.00	0.53
	Implement	D3.3	Validation	1.50	0.93	0.53	3.50	2.80	0.67
	Operate	D4.1	Transition	1.25	0.79	0.43	3.19	2.71	0.50
	Operate	D4.2	Operation and Support	1.25	0.71	0.50	3.19	2.64	0.57
Mean				1.44	0.92	0.49	3.42	2.76	0.66
Std Dev				0.17	0.17	0.08	0.22	0.29	0.16

# Realize Competency Results

## Realize Competency Results

REALIZE	SubArea	ID	INCOSE Competencies	NCG (Mean)			MCE (Mean)		
				D	A	G	D	A	G
	Business Fundam	R1.1	Business and Enterprise Int.	1.06	0.43	0.64	2.94	2.07	0.86
	Business Fundam	R1.2	Finance	1.00	0.50	0.50	2.69	1.86	0.79
	Lifecycle Manage	R2.1	Lifecycles	1.25	0.71	0.57	3.19	2.43	0.79
	Lifecycle Manage	R2.2	Project Management	1.25	0.57	0.64	2.88	2.40	0.40
	Lifecycle Manage	R2.3	Planning	1.63	0.93	0.71	3.38	2.71	0.71
	Lifecycle Manage	M2.4	Concurrent Engineering	1.50	0.64	0.79	3.31	2.71	0.64
	Monitoring & Co	R3.1	Risk and Opportunity Mgmt	1.44	0.86	0.57	3.38	2.57	0.86
	Monitoring & Co	R3.2	Monitoring and Control	1.44	0.79	0.64	3.25	2.43	0.86
	Monitoring & Co	R3.3	Quality	1.56	1.00	0.50	3.44	2.57	0.86
	Operations	R4.1	Acquisition and Supply	0.88	0.50	0.29	2.88	2.27	0.67
	Operations	R4.2	Information Management	1.40	0.77	0.62	3.25	2.71	0.64
	Operations	R4.3	Configuration Management	1.31	0.71	0.57	3.50	2.79	0.79
	Operations	R4.4	Logistics	1.00	0.50	0.43	2.69	2.14	0.57
	Mean			1.29	0.69	0.57	3.13	2.44	0.72
	Std Dev			0.24	0.18	0.13	0.29	0.29	0.14

# Competencies with Highest Expectations

Competency with Highest Proficiency Levels for NCGs

Competency	Proficiency Level	Gap
Ethics & Professionalism	2.78	1.00
Critical Thinking	2.50	0.65
Communication	2.39	1.00
Team Dynamics	2.33	0.47
<i>General Engineering</i>	2.28	0.41

Competencies with Highest Proficiency Levels for MCEs

Competency	Proficiency Level	Gap
Ethics & Professionalism	3.94	0.82
Requirements Definition	3.88	0.60
<i>General Engineering</i>	3.76	0.56



# Competencies with Greatest Gaps

## Competencies with Largest Proficiency Gaps for NCGs

Competency	Proficiency Level	Gap
Systems Thinking	2.28	1.24
Ethics & Professionalism	2.78	1.00
Communication	2.39	1.00
Concurrent Engineering	1.50	0.79
Design of Experiments	2.06	0.76
<i>General Engineering</i>	2.28	<i>0.41</i>

## Competencies with Largest Proficiency Gaps for MCEs

Competency	Proficiency Level	Gap
Systems Modeling & Analysis	3.65	1.19
Systems Thinking	3.76	1.00
System Architecting	3.44	1.00
Communication	3.65	0.94
Decision Management	3.53	0.94
Facilitation	3.35	0.94
<i>General Engineering</i>	3.76	<i>0.56</i>

# Competencies with Greatest Gap Change

Competencies with Gap Reduction from NCG to MCE

Competency	NCG Gap	MCE Gap	Change
Systems Thinking	1.24	1.00	(0.24)
Project Management	0.64	0.40	(0.24)
Ethics & Professionalism	1.00	0.82	(0.18)
Concurrent Engineering	0.79	0.64	(0.15)
Critical Thinking	0.65	0.56	(0.09)
Communication	1.00	0.94	(0.06)

Competencies with Great Gap increases from NCG to MCE

Competency	NCG Gap	MCE Gap	Change
Systems Modeling & Analysis	0.65	1.19	0.54
System Architecting	0.47	1.00	0.53
Coaching and Mentoring	0.29	0.81	0.52
Capability Engineering	0.40	0.87	0.47
Facilitation	0.47	0.94	0.47
<i>General Engineering</i>	<i>0.41</i>	<i>0.56</i>	<i>0.15</i>

# AESE Program

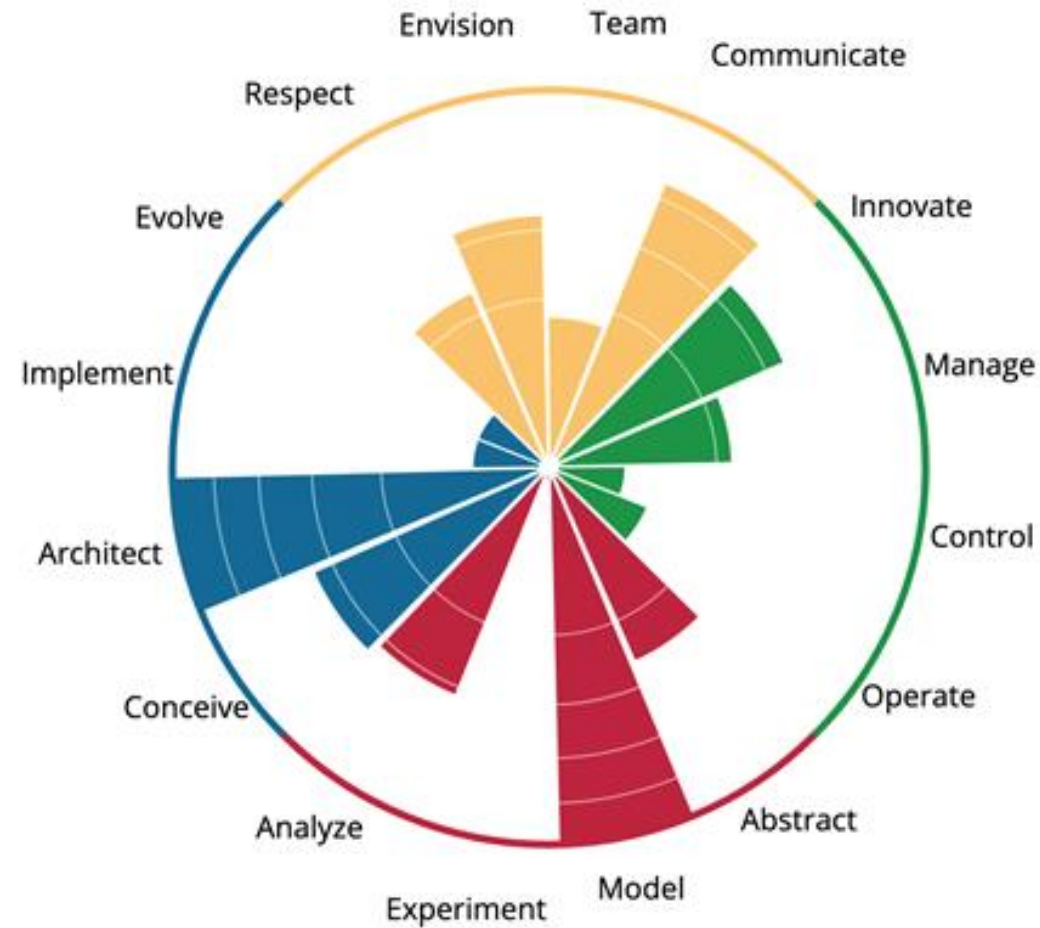
- The UCSD's AESE program was developed to educate senior engineers and engineering managers in the problems and emerging solution approaches that can enable their enterprises to better respond to the challenges of globally distributed operations in complex, highly dynamic, event-driven environments.
- This program uses an “integrated perspective” that merges the views of management and engineering communities which is increasingly being recognized as one of the cornerstones of a successful approach to enterprise complexity.
- The program was piloted in 2006, deployed in 2010 and has had over 375 graduates at the date of this publication.

# AESE Course Structure

Areas	Topics	Architecture of Enterprise Systems	Order
Lead	Self, Team, Vision, Communication	MGT 406: Leadership Skills, Values, and Team-building	2
Understand	Abstract, Model, Experiment, Analyze	AESE 278C: Modeling, Simulation and Analysis	6
		AESE 241: Decision and Risk Analysis	8
Realize	Business Fundamentals, Lifecycle Management, Monitoring & Control	MGT 291: Essentials for Business Practice	1
		AESE 261: Managing Stakeholder Relationships	9
Design	Conceive	AESE 278A: Complexity and Large-scale Systems	3
Specialize	Architect	AESE 278B: Enterprise Architecting	4
	Implement	AESE 278D: Engineering Essentials for Distributed Systems	5
	Evolve	AESE 278E: Patterns for Enterprise Architecting	7
Integrate	Use and Expand State of the Art	AESE 279: Capstone Team Projects	all



# Profile Visualization



# SE Competency Classroom Time

Systems Engineering competency area Classroom time percentage

LEAD	SubArea	23.20%	DESIGN	SubArea	23.5%
	L1 Self/Ethics	3.9%		D1 Conceive	7.1%
	L2 Vision/Strategy	6.8%		D2 Architect & Design	15.2%
	L3 Team	2.4%		D3 Implement	0.6%
	L4 Communication	10.1%		D4 Operate	0.6%
UNDERSTAND	SubArea	26.5%	REALIZE	SubArea	12.5%
	U1 Systems Thinking	4.8%		R1. Business Fundamentals	7.1%
	U2 Experimentation	0.0%		R2. Lifecycle Management	3.6%
	U3 Modeling & Simulation	15.2%		R3. Monitoring & Control	0.6%
	U4 Analysis & Decision Making	6.5%		R4. Operations	1.2%

# Major Areas of Focus

- Architecture and Design - 15.2%
- Modeling and Simulation- 15.2%
- Communication - 10.1%
- Design Conception - 7.1%
- Business Fundamentals - 7.1%
- Vision and Strategy – 6.8%
- Analysis and Design Making – 6.5%
- Systems Thinking – 4.8%

# Lead Competency Classroom Time

*Lead* Classroom time percentage

LEAD	SubArea	Total	Competencies	23.2%
	L1 Self/Ethics	3.9%	L1.1 Emotional Intelligence	2.1%
			L1.2 Ethics & Professionalism	1.8%
	L2 Vision/Strategy	6.8%	L2.1 Technical Leadership	4.2%
			L2.2 Critical Thinking	2.7%
	L3 Team	2.4%	L3.1 Team Dynamics	1.8%
			L3.2 Facilitation	0.6%
	L4 Communication	10.1%	L4.1 Communications	5.1%
			L4.2 Negotiation	2.1%
			L4.3 Coaching and Mentoring	3.0%

# Design Competency Classroom Time

*Design Classroom time percentage*

DESIGN	SubArea	Total	Competencies	23.5%
	D1 Conceive	7.1%	D1.1 Capability Engineering	6.5%
			D1.2 Requirements Definition	0.6%
	D2 Architect & Design	15.2%	D2.1 System Architecting	14.6%
			D2.2 Design for...	0.3%
			D2.3 Interfaces	0.3%
	D3 Implement	0.6%	D3.1 Integration	0.6%
			D3.2 Verification	0.0%
			D3.3 Validation	0.0%
	D4 Operate	0.6%	D4.1 Transition	0.0%
			D4.2 Utilization & Support	0.6%
			D4.3 Retirement	0.0%



# Understand Competency Classroom Time

*Understand* Classroom time percentage

UNDERSTAND	SubArea	Total	Competencies	26.5%
	U1 Systems Thinking	4.8%	U1.1 Conceptual models & abstractions	2.1%
			U1.2 Complex Adaptive Systems	1.2%
			U1.3 System Identification & Relationships	1.3%
			U1.4 System Dynamics	0.1%
			U1.5 Other	0.0%
	U2 Experimentation	0.0%	U2.1 Designing Experiments	0.0%
			U2.2 Single Factor Experiments	0.0%
			U2.3 Factorial Designs	0.0%
			U2.4 Fractional Factorial Designs	0.0%
			U2.5 Other Experimentation	0.0%
	U3 Modeling & Simulation	15.2%	U3.1 System Computational Modeling Principles	10.7%
			U3.2 Continuous & Discrete Simulations	0.6%
			U3.3 Decision making: central & distributed, fixed vs. runtime	2.7%
			U3.4 Evaluation of Capability, Effectiveness & Efficiency	1.2%
			U3.5 Other	0.0%
	U4 Analysis & Decision Making (Decision Management)	6.5%	U4.1 Decision-Making Principles	3.0%
			U4.2 Data Analytics & Visualization	1.5%
			U4.3 H/M Interaction, AI & ML techniques	0.9%
			U4.4 Uncertainty & Risk Assessment	1.2%

# Realize Competency Classroom Time

*Realize* Classroom time percentage

REALIZE	SubArea	Total	Competencies	12.5%
	R1. Business Fundamentals	7.1%	R1.1 Business and Enterprise Integration	4.8%
			R1.2 Finance	2.4%
	R2. Lifecycle Management	3.6%	R2.1 Lifecycles	1.8%
			R2.2 Project Management	0.0%
			R2.3 Planning	1.8%
			R2.4 Concurrent Engineering	0.0%
	R3. Monitoring & Control	0.6%	R3.1 Risk and Opportunity Management	0.0%
			R3.2 Monitoring and Control	0.6%
			R3.3 Quality	0.0%
	R4. Operations	1.2%	R4.1 Acquisition and Supply	0.6%
			R4.2 Information Management	0.0%
			R4.3 Configuration Management	0.0%
			R4.4 Logistics	0.6%

# Proficiency Gaps vs AESE Classroom Time

Competencies with Largest Proficiency Gaps for MCEs vs AESE % Program time

Competency	Proficiency Level	Gap	AESE Program
Systems Modeling & Analysis	3.65	1.19	15.2%
Systems Thinking	3.76	1.00	4.8%
System Architecting	3.44	1.00	14.6%
Communication	3.65	0.94	5.1%
Decision Management	3.53	0.94	6.5%
Facilitation	3.35	0.94	0.6%
Technical Leadership	3.59	0.88	4.2%
Capability Engineering	3.25	0.87	6.5%
Business and Enterprise Integration	2.94	0.86	4.8%
Risk and Opportunity Management	3.38	0.86	0.0%
Monitoring and Control	3.25	0.86	0.6%
Quality	3.44	0.86	0.0%

# Results

The following are some of the significant results from this limited survey.

- *Systems Modeling & Analysis, Systems Thinking, System Architecting, Ethics and Professionalism, and Communication* are significant gap areas for both NCGs and MCEs.
- The proficiency level expectations for *Ethics & Professional Skills, Communication, and Team Dynamics* skills ranked higher than *General Engineering* and technical skills for NCGs.
- The gap between actual and desired competencies are greater for the systems engineering competencies than for general engineering skills.
- Most of the competency gaps increased over time, some substantially. Only six of the 37 competencies had proficiency gaps that narrowed over time from NCG to MCE. While one might expect that the gap in certain proficiencies, such as *Communication* might substantially narrow over time, the data did not bear this out.

# Reflections

- The SEEE competency framework was a useful tool in the analysis of an existing systems engineering program. The results highlighted the focus of the SE program and how it compared with the surveyed needs of the program sponsors.
- The process of performing this analysis served as an aid in understanding the program of interest both for updates and for the creation of new programs.
- The analysis work uncovered some areas in which the competency framework might evolve.
  - *Business Insight* and *Strategic Thinking* were competencies that were identified that did not have a clear home in the current competency framework.
  - *Technical Leadership* was the placeholder that was used for this.
  - *Cybersecurity* was another competency topic that did not have a clear home. While this could fall into the *Architecture* competency, some aspects of this might fall into *General Engineering* as well.
  - *Design Process* does not have a clear home in this competency framework. The *Conceive* competency became the placeholder for these skills.



# Future Use

The approach used in this project will be used in the future in three areas.

- The first will be in the development of new systems engineering program specializations and the update of the current program. These specializations will target a different student demographic and system type than the existing AESE program. This work will enable the new specializations to more closely meet the needs of the students and those who will eventually employ them.
- The second area will be in furthering the development of the Systems Engineering Education Ecosystem portal and its governance to ensure that it meets the evolving needs of its stakeholders.
- Finally, as the installed base of employer and academic programs grows, this feedback will facilitate the evolution of the SE competency framework and the focus areas for the future of systems engineering.

# Get Involved!



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