



**32**<sup>nd</sup> Annual **INCOSE**  
international symposium  
hybrid event

**Detroit, MI, USA**  
June 25 - 30, 2022

Presenter, Eric B. Dano, Ph.D.  
BAE Systems, Electronic Systems (ES)  
[eric.b.dano@baesystems.com](mailto:eric.b.dano@baesystems.com)

Not export controlled per ES-CEMA-021521-0057

# **Using Design Structure Matrices (DSMs) to Derive System Architectures**



# What is a DSM ?

## Design Structure Matrix (DSM) Definition (a.k.a. N<sup>2</sup> Dependency Matrix, Dependency Structure Matrix, etc.)

Tool used to optimize a grouping of Tasks (schedule or process applications), Components or functions (system architecture applications) or Teams (organizational applications) based on defined dependencies between elements to produce an optimal time sequence of activities or grouping of components for a given system application.

### DSM Types

Static [1] – “Represent system elements existing simultaneously, such as components of a product architecture or groups in an organization. Usually solved using clustering algorithms.”

Time Based [1] – “The ordering of the elements in the system represent a flow through time, with upstream activities preceding down stream activities. “Feed-forward” and “feed-back” are used to describe interfaces.

[1] T. Browning, 2001.



# DSM Matrix Basics

- The top row and left most column list the elements/tasks/teams to be considered
  - The diagonal is in black because no element is dependent on itself
  - The element sequence currently goes from A to J but will be optimized based on the dependencies defined by the Xs
- Each Row shows the “Needs” (i.e. must occur after the element in the current Row)
  - EX1: Element C “Needs” only B. Since it is currently after B, it is fine
  - EX2: Element D “Needs” E & H which both “Provide” to D. Therefore, Element D must be moved later in the sequence (after E & H).
    - » Note that all X’s above the diagonal have this issue and will need to be optimized
- Each Column shows the “Provides” (i.e. must occur before the element in each checked Row)
  - EX3: Element E “Provides” to D & H. It will need to be moved to before D

Example DSM

	A	B	C	D	E	F	G	H	I	J
A										
B										
C			X							
D						X				X
E							X			
F								X		
G			X							
H	X						X			
I					X					
J			X							X



# Interaction/Interdependency Types

## • Dependent

- There is a dependence between the two elements/tasks
- They must be performed sequentially



**Dependent**  
-i.e. sequential

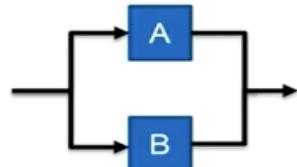
Example DSM Appearance

	A	B
A		
B	X	

B needs A before it can start

## • Independent

- There is NO dependence between the two elements/tasks
- They may be performed in parallel



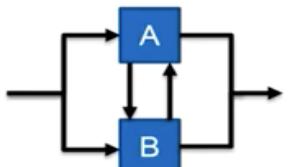
**Independent**  
-i.e. parallel

	A	B
A		
B		

A and B can be started in parallel

## • Interdependent

- Each element/task relies on out put from the element/task
- The two elements/tasks are coupled



**Interdependent**  
-i.e. coupled

	A	B
A		X
B	X	

A and B must be done simultaneously



# Static DSM Example: System Architecture of a Software Defined Radio (SDR) Based System



# Derive an Architecture in 5 Easy Steps !

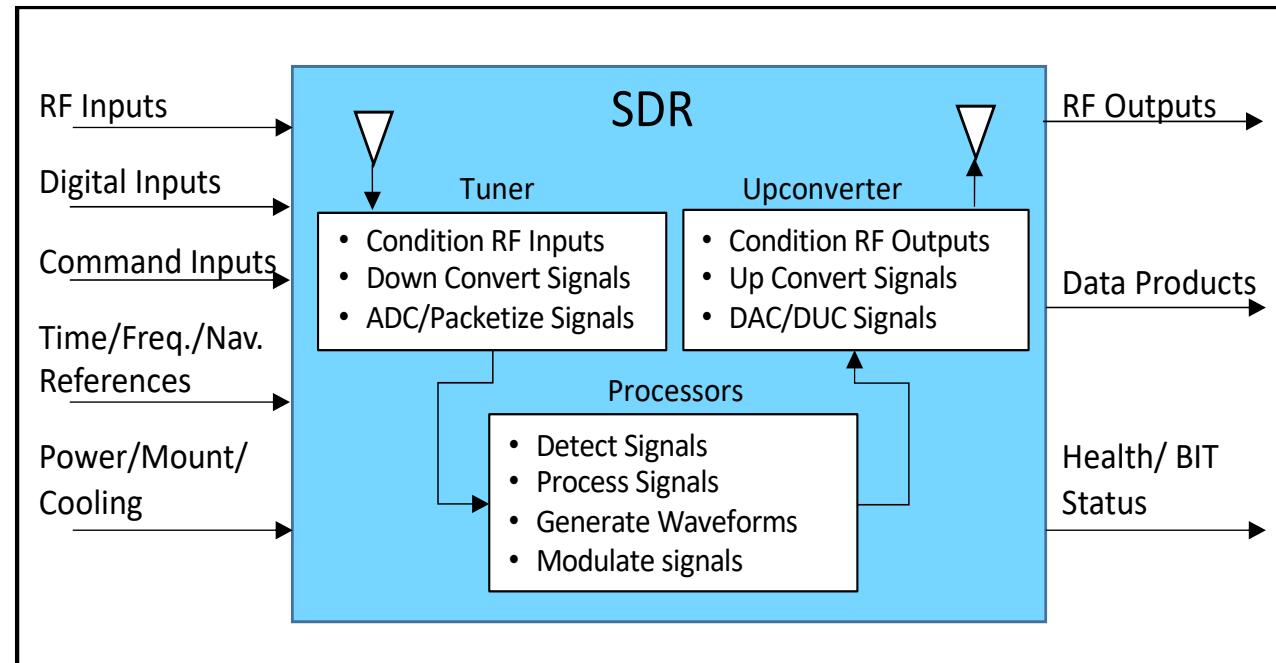
1) Define ConOps and required system capabilities (operational, support, etc.)

2) Perform Functional Architecture/ Functional decomposition with defined interdependencies

3) Perform Logical Architecture/Allocate functions to format based on required performance

4) Perform Logical Architecture/High level Aggregation of commonly allocated functionality

5) Perform Physical Architecture/Partition functions to system elements and perform low level aggregation of functionality



**A Software Defined Radio will be used in this example**



# 1. Define ConOps and Required System Capabilities

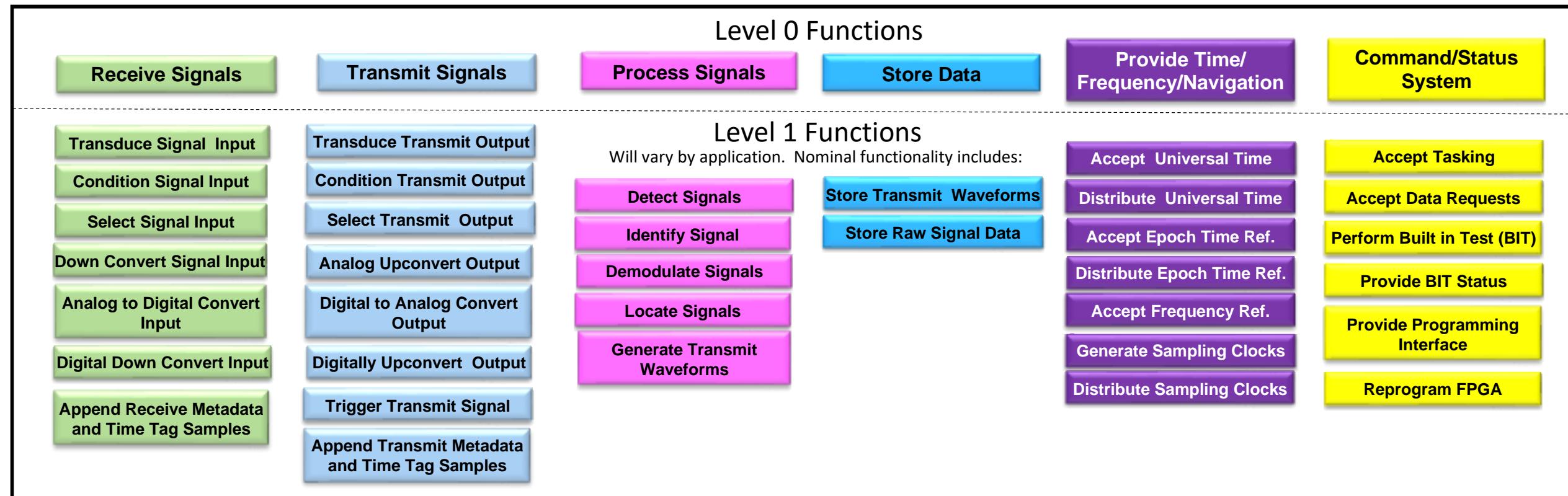
- The architecture process starts with the “hand-in” of the customer specified Concept of Operations (ConOps), Key Performance Parameters (KPPs), Key System Attributes (KSAs) and value statements.
  - The application of option generation techniques is critical during the concept exploration phase and should include holistic systems thinking to find highest level objectives, using analogies to create options, dynamic system modeling and simulation [2], the use of heuristics [3] and proven design patterns for software [4].
- The SDR based system ConOp is to have the capabilities to receive signals, process signals, transmit signals, store data and provide time/frequency/navigation.
  - The ConOps must be further expanded to include supportability, human machine interface, cyber, testability, safety, production, etc. to ensure the full set of ConOps are understood prior to commencing functional decomposition of the system.
  - System architect must ensure a multi-disciplinary solution is obtained [5].

**ConOps definition is performed prior to populating the DSM**

## 2. Perform System Functional Decomposition



- The level 0 functionality is defined as part of the functional architecture process
- Level 0 functions are then decomposed into Level 1 sub-functions which will be used in the architecture derivation process [6], [7]

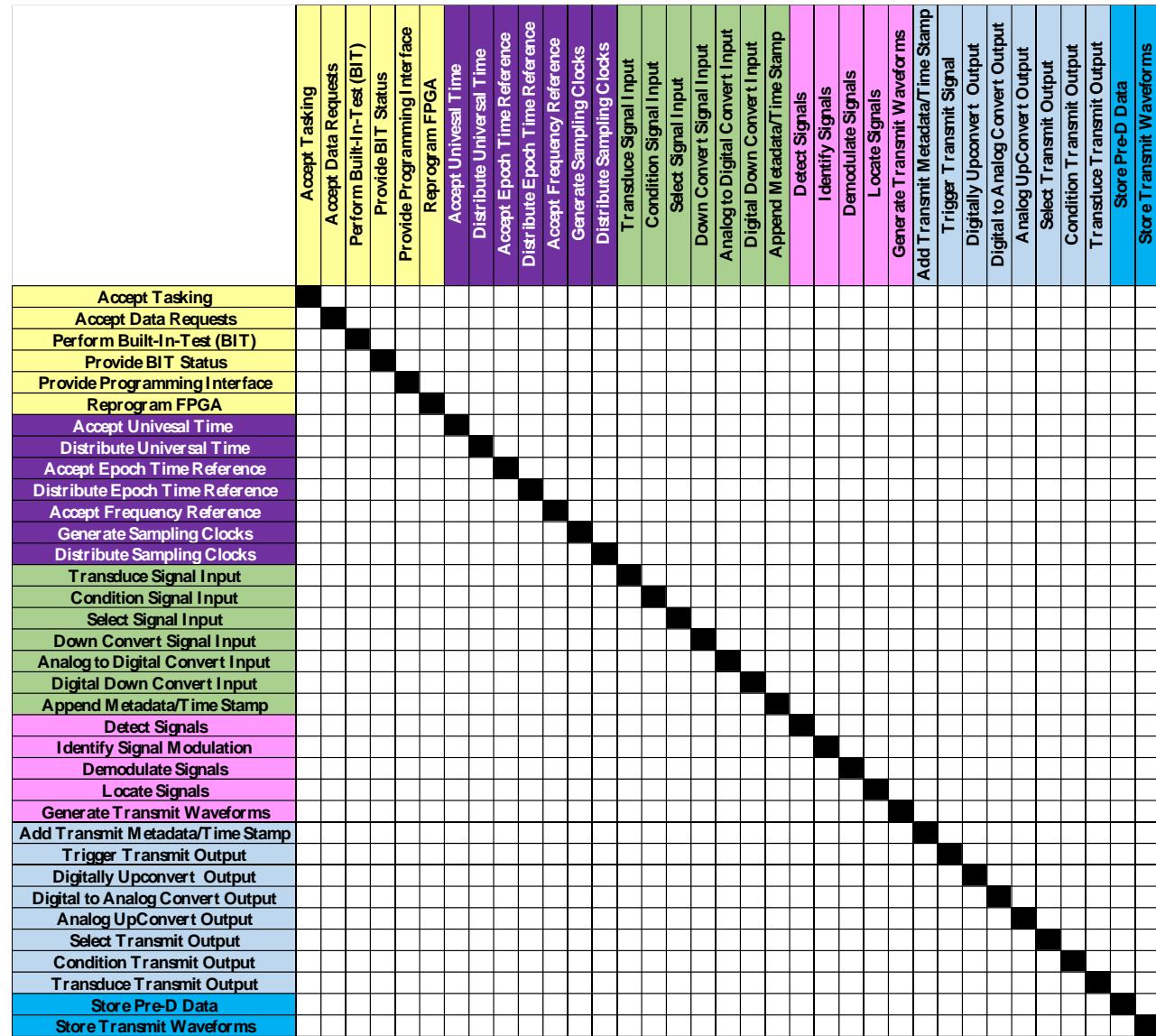


# Apply Functional Breakdown to DSM Matrix



- Level 1 functionality is placed along the X and Y axes
- Diagonal is blacked out
- Level 1 functionality is usually sufficient to derive the system architecture and define system level modularity

Functional Decomp				
Command/Status System				
Provide Time/ Frequency Reference				
Receive Signals				
Process/Generate Signals				
Transmit Signals				
Store Data				



# Define Functional Dependencies to Matrix



- X's show interdependencies between the derived Level 1 functions [8], [9].
- This process is focused on modularity (i.e. static DSM) and is not adjusted for order of events (i.e. Time-based DSM)
- **EX1:** See that metadata/time stamping relies on:
- **EX2:** See that metadata/time stamping relies on:
  - Tasking
  - UTC time message distribution,
  - Epoch Time (1PPS) distribution
  - ADC/DAC clocks distribution



# 3. Perform Allocation of Functionality

- Allocation is done to optimize performance of the various functions
  - Most functions can be performed using multiple allocations
- Allocations mostly driven by required:
  - Latency
  - Throughput
  - Fidelity
  - Cost
  - Leverage
- Allocation Heuristics (lessons learned) and required performance are used to properly allocate functions

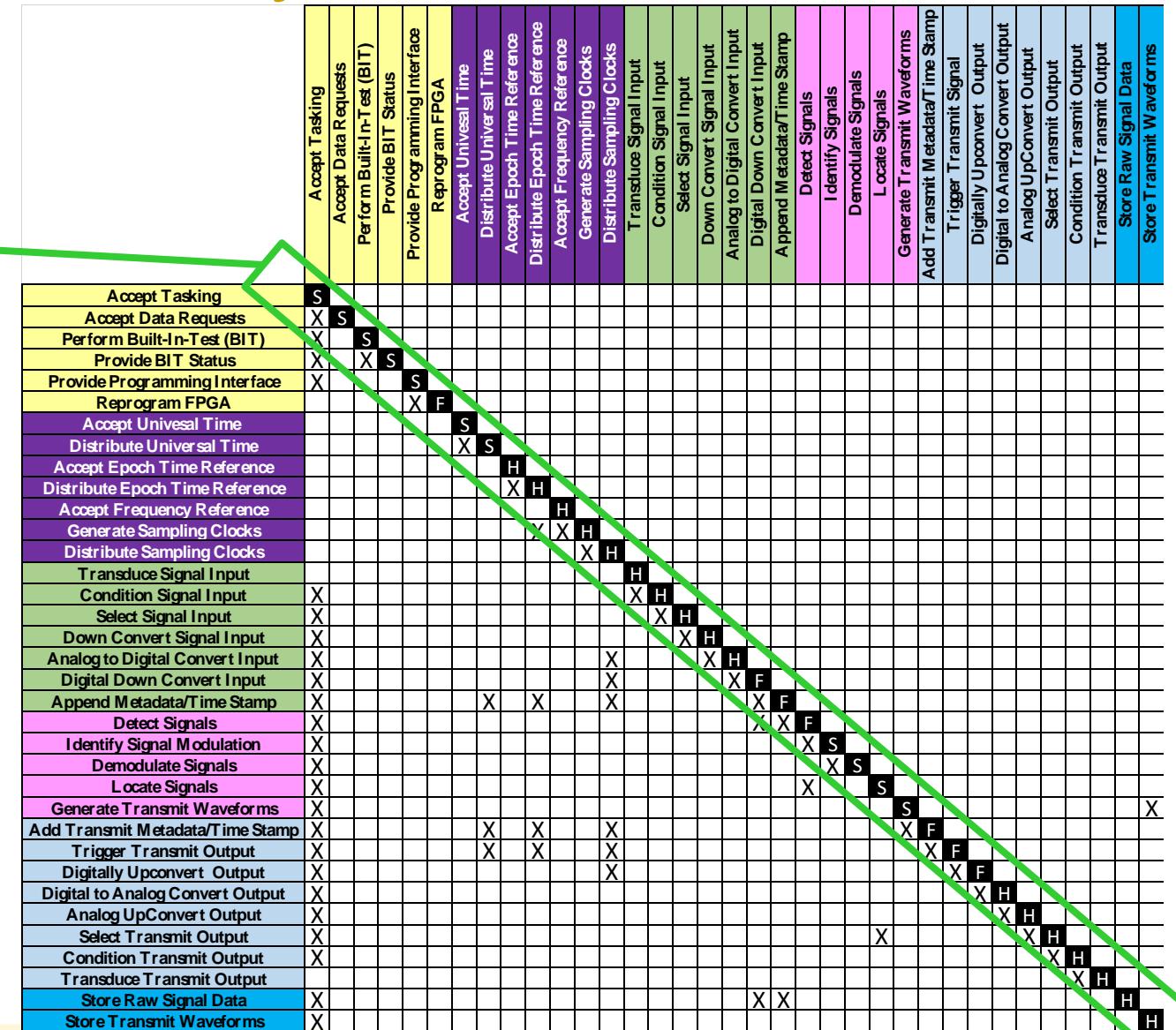
	Hardware (H)	FPGAs (F)	GPUs (G)	GPPs/Software (S)
S T R E N G T H	<ul style="list-style-type: none"><li>Good for generic fixed capabilities</li><li>Common open standards and interfaces exists</li><li>Relatively low cost</li></ul>	<ul style="list-style-type: none"><li>Highly reconfigurable parallel architecture permits multiple operations to be performed simultaneously</li><li>Embedded multipliers and memory enable instantiation of extremely fast filters and synthesizers</li><li>Programmable data paths allow for processing a wide variety of data types</li><li>Low latency operations</li></ul>	<ul style="list-style-type: none"><li>Excellent for processing intensive algorithms (multi-parallel processing)</li><li>Baselined to floating point operations</li><li>Fast memory access</li><li>Rapid commercial GPU upgrade cycle</li></ul>	<ul style="list-style-type: none"><li>Highly versatile; can implement an almost limitless number of applications</li><li>Embedded math logic makes for efficient use of processing resources</li><li>Excellent for decision making and branching</li><li>Well suited for information management and control</li></ul>
W E A K N E S S	<ul style="list-style-type: none"><li>Fixed capabilities, can't be reconfigured</li><li>Requires additional components for extended frequencies</li><li>Relatively large Size, Weight, and Power (SWaP)</li></ul>	<ul style="list-style-type: none"><li>Relatively inefficient for branching or decision-making operations (these consume large numbers of gates)</li><li>Large and fast devices are expensive</li><li>High-precision math operations may consume many resources</li></ul>	<ul style="list-style-type: none"><li>High power draw</li><li>High heat dissipation</li><li>Some must be paired with an interface GPP</li><li>Limited vendors</li></ul>	<ul style="list-style-type: none"><li>May be comparatively slow at simple fixed-point math operations, due to inherently serial processing nature</li><li>Only able to perform a low number of tasks per clock cycle</li><li>Higher latency operations</li></ul>

# Allocated Functionality



## Allocation Key:

- F – Firmware
- H – Hardware
- S – Software
- A – Application Specific Integrated Circuit (ASIC)
- G - Graphical Processing Unit (GPU)
- O - Other

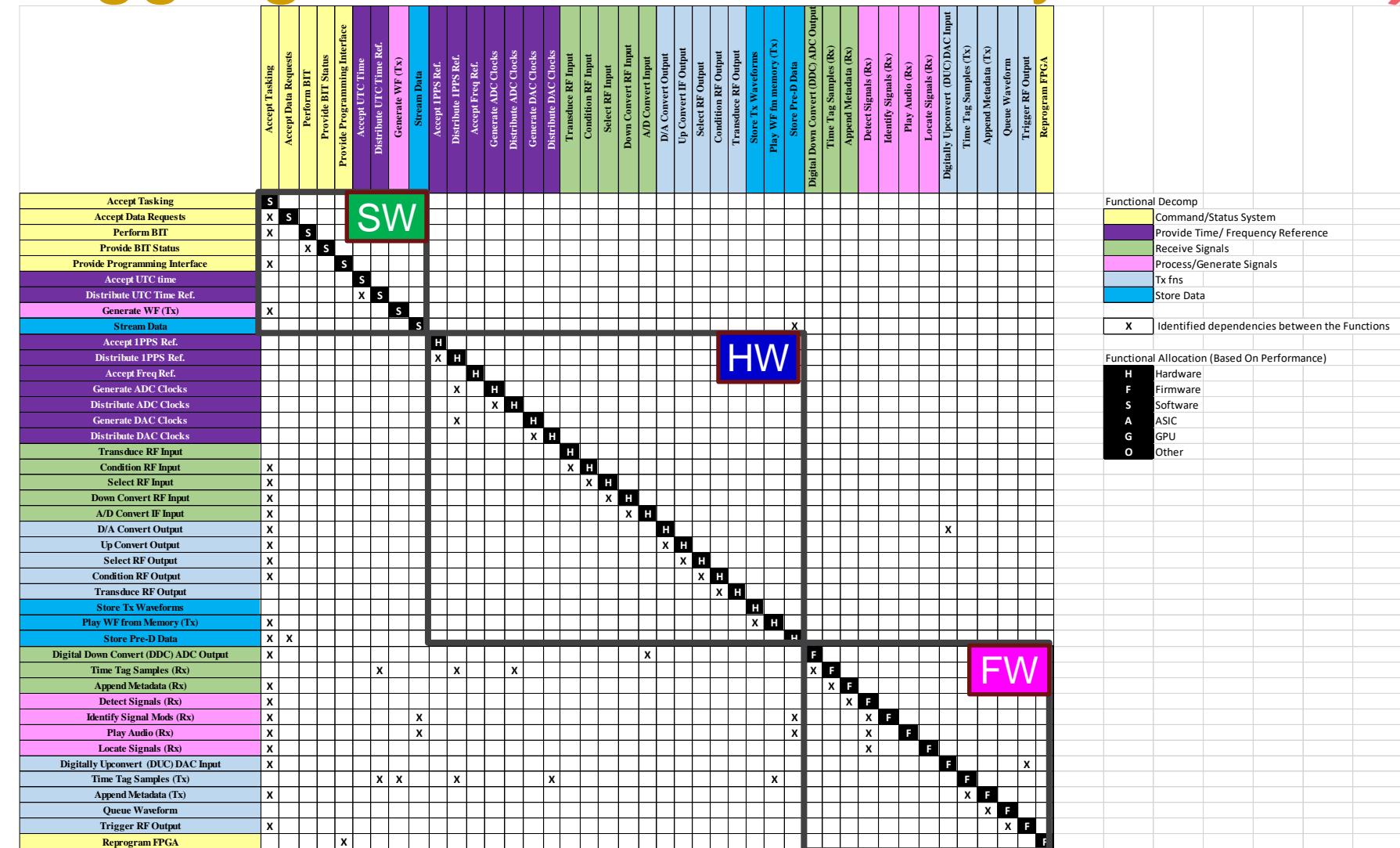


	Accept Tasking	Accept Data Requests	Perform Built-in-Test (BIT)	Provide BIT Status	Provide Programming Interface	Reprogram FPGA	Accept Universal Time	Distribute Universal Time	Accept Epoch Time Reference	Distribute Epoch Time Reference	Accept Frequency Reference	Generate Sampling Clocks	Distribute Sampling Clocks	Transduce Signal Input	Condition Signal Input	Select Signal Input	Down Convert Signal Input	Analog to Digital Convert Input	Digital Down Convert Input	Append Metadata/Time Stamp	Detect Signals	Identify Signals	Demodulate Signals	Locate Signals	Generate Transmit Waveforms	Add Transmit Metadata/Time Stamp	Trigger Transmit Signal	Digitally Upconvert Output	Digital to Analog Convert Output	Analog Up/Down Convert Output	Condition Transmit Output	Transduce Transmit Output	Store Raw Signal Data	Store Transmit Waveforms
Accept Tasking	S																																	
Accept Data Requests	X	S																																
Perform Built-in-Test (BIT)	X		S																															
Provide BIT Status	X		X	S																														
Provide Programming Interface	X				S																													
Reprogram FPGA						F																												
Accept Universal Time							S																											
Distribute Universal Time							X	S																										
Accept Epoch Time Reference									H																									
Distribute Epoch Time Reference								X	H																									
Accept Frequency Reference										H																								
Generate Sampling Clocks										X	H																							
Distribute Sampling Clocks										X	H																							
Transduce Signal Input											H																							
Condition Signal Input							X																											
Select Signal Input							X																											
Down Convert Signal Input							X																											
Analog to Digital Convert Input							X											X	H															
Digital Down Convert Input							X										X			X	F													
Append Metadata/Time Stamp							X										X	X		X	F													
Detect Signals							X													X	X	F												
Identify Signal Modulation							X													X	S													
Demodulate Signals							X													X	S													
Locate Signals							X													X														
Generate Transmit Waveforms							X																											
Add Transmit Metadata/Time Stamp							X										X	X		X	F													
Trigger Transmit Signal							X										X	X		X	F													
Digitally Upconvert Output							X													X	F													
Digital to Analog Convert Output							X													X	H													
Analog Up/Down Convert Output							X													X	H													
Condition Transmit Output							X													X	H													
Transduce Transmit Output							X													X	H													
Store Raw Signal Data							X																											
Store Transmit Waveforms							X																											

# 4. Perform Aggregation of Functionality



- Aggregation is done to optimize the grouping of the allocated functions to aid modularity definition in the system and provide [10]:
- High Cohesiveness** - Large similarity in well-defined functions performed within a module
  - Enables Commonality and Reuse
- Low Coupling** - Module functionality does not constrain functionality in any other module
  - Reduces complexity, eases testability, catalyst for rapid capability insertion, etc.





# Software Defined Radio (SDR) Based System

Case 1: Large Platform

Case 2: Small Platform



# SDR Characteristics for Functional DSM Methodology Use Cases

Case 1 – Large Platform	Case 2 – Small UAV Platform
<ul style="list-style-type: none"><li>• High precision - Geolocation</li><li>• Multiple Receive Array(s)</li><li>• Multiple Receive Channels</li><li>• Multiple Receive Bands</li><li>• N-Channel Direction Finding</li><li>• Multiple Transmit Channels</li><li>• Multiple Transmit Bands</li><li>• Multiple Transmit Array(s)</li><li>• Large Radiated Power – Stand-Off</li><li>• Significant signal distribution</li></ul>	<ul style="list-style-type: none"><li>• Lower Precision – Situational Awareness</li><li>• Two Receive Antennas</li><li>• Two Receive Channels</li><li>• One Receive Band</li><li>• 2 Channel Direction Finding</li><li>• One Transmit Channel</li><li>• One Transmit Band</li><li>• One TX antenna</li><li>• Low Radiated Power – Stand-In</li><li>• Direct Signal Cabling</li></ul>

Up to this point both platforms have the same architecture



## 5. Perform Partitioning of Functionality

- Partitioning groups aggregated functions into a specific system element(s) and ultimately defines the modularity of a system [11], [12]
  - The thinking behind this step is often missed!!!
- Partitioning is determined based on:
  - Heuristics
  - CONOPs
  - Make/buy decisions
  - Top Level Requirements
  - State of COTS technologies/State of internal technologies/Leverage
  - Alignment with Open Standards
  - Architecture Trades/Analysis
  - Modular Open System Approach (MOSA) [13]

**The System Architect works with SMEs to ensure an optimal system concept is defined**

# Partitioned Functionality – Case 1 Large SDR System



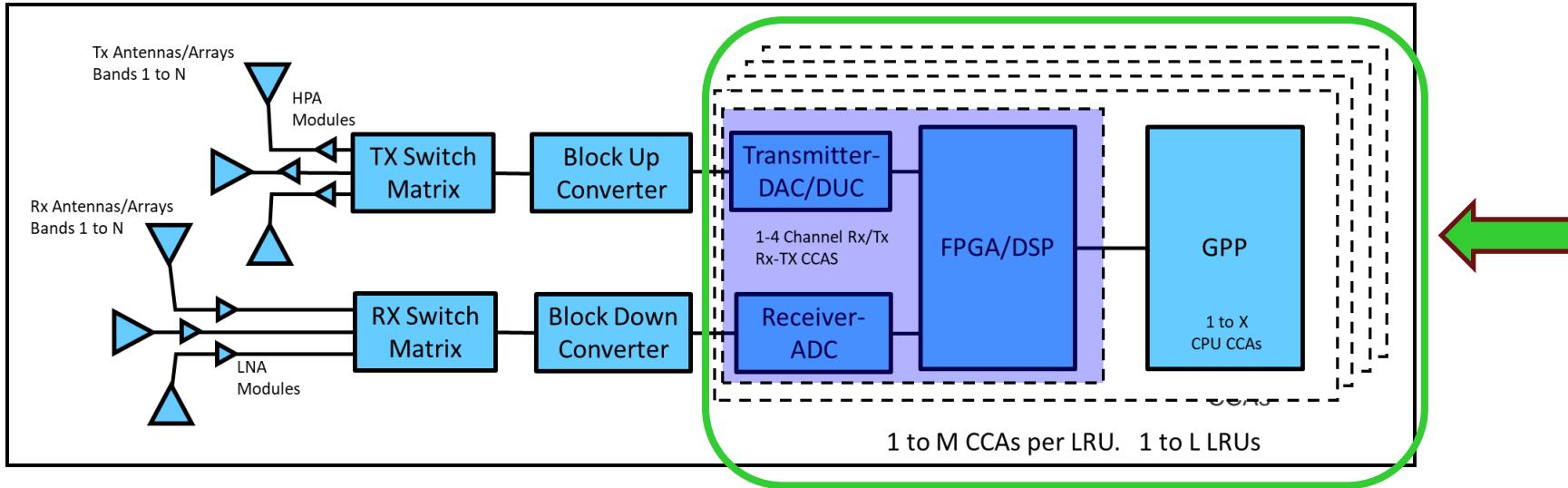
- Case 1 – Large SDR system
  - High Rx Sensitivity
  - Rx Arrays
  - Multiple Receive Channels
  - Multiple Receive Bands
  - N-Channel DF
  - Multiple Transmit Channels
  - Multiple Transmit Bands
  - Tx Arrays
  - Large Tx ERP
  - Significant RF distribution, compensation, calibration
  - RF power detection, etc.

Partitioning Key:		Functionality Categories																																	
		Accept Tasking	Accept Data Requests	Perform Built-In-Test (BIT)	Provide BIT Status	Provide Programming Interface	Accept Universal Time	Distribute Universal Time	Accept Epoch Time Reference	Distribute Epoch Time Reference	Accept Frequency Reference	Generate Sampling Clocks	Distribute Sampling Clocks	Transduce Signal Input	Condition Signal Input	Select Signal Input	Down Convert Signal Input	Analog to Digital Convert Input	Digital Down Convert Input	Append Metadata/Time Stamp	Detect Signals	Identify Signals	Demodulate Signals	Locate Signals	Generate Transmit Waveforms	Add Transmit Metadata/Time Stamp	Trigger Transmit Signal	Digitally Upconvert Output	Digital to Analog Convert Output	Analog Up/Convert Output	Condition Transmit Output	Transduce Transmit Output	Store Raw Signal Data	Store Transmit Waveforms	Reprogram FPGA
Accept Tasking	S																																		
Accept Data Requests	X	S																																	
Perform Built-In-Test (BIT)	X		S																																
Provide BIT Status	X		X	S																															
Provide Programming Interface	X			S																															
Accept Universal Time				S																															
Distribute Universal Time							X	S																											
Accept Epoch Time Reference									H	H																									
Distribute Epoch Time Reference									X	H																									
Accept Frequency Reference										H																									
Generate Sampling Clocks										X	X	H																							
Distribute Sampling Clocks										X	H																								
Transduce Signal Input												H																							
Condition Signal Input	X											X	H																						
Select Signal Input	X											X	H																						
Down Convert Signal Input	X											X	H																						
Analog to Digital Convert Input	X											X	H																						
Digital Down Convert Input	X											X	F																						
Append Metadata/Time Stamp	X						X	X	X			X	F																						
Detect Signals	X											X																							
Identify Signal Modulation	X											X																							
Demodulate Signals	X											X																							
Locate Signals	X											X																							
Generate Transmit Waveforms																																			
Add Transmit Metadata/Time Stamp	X																																		
Trigger Transmit Output																																			
Digitally Upconvert Output	X																																		
Digital to Analog Convert Output	X																																		
Analog Up/Convert Output	X																																		
Select Transmit Output	X																																		
Condition Transmit Output	X																																		
Transduce Transmit Output																																			
Store Raw Signal Data	X																																		
Store Transmit Waveforms	X																																		
Reprogram FPGA																																			

CCA = Circuit Card Assembly  
 CSCI - Computer Software Configuration Item  
 GPP = General Purpose Processors  
 COTS = Commercial Off-The-Shelf

**Modularity at the Box and Card Level**  
**Use of several open standards**

# Case 1 Large SDR System (Cont.)



BAE SYSTEMS

## SYS6315 SIGINT Sensor Eclipse SIGINT Products

The SYS6315 is a 4U, 19-inch rack mount ultra-wideband Signals Intelligence (SIGINT) sensor. Part of a family of software-defined radios, the SYS6315 provides 15 open VPX slots for Radio Frequency (RF) receiver and transmitter hardware. The SYS6315 is a rack-mount, air-cooled chassis. The front loaded card cage allows for easy maintenance without removing the chassis from the rack. A nominal configuration includes 14 RF channels with 80 MHz of instantaneous bandwidth, three single board computers, and two graphic processing units. All cards are conduction cooled.



### Key features and benefits

- Modular, open system architecture complies with VITA-46, -48, -49 and -67 standards, enabling interoperability among platforms and systems
- 14 independent 80 MHz RF channels for:
  - Independent operation for staring or scanning with up to 1,120 MHz of instantaneous bandwidth
  - Grouped for up to eight phase coherent RF channels to support direction finding
- Three single board computers with third generation Intel® Core™ i7 processors and high-speed PCIe connections
- Optional two graphic processing units providing 640 cores of NVIDIA® Maxwell™ graphics processing power
- Hosts a software baseline built on open architecture principles that supports emerging standards such as REDHAWK, TOA, OMS, SOSA, JICD 4.2, and VITA-49

[www.boesystems.com](http://www.boesystems.com)

Architecture is scalable and can GROW to include the required number of Rx and Tx LRUs

# Partitioned Funct. – Case 2 Small (UAV) SDR System



- Case 2 – Small UAV SDR System
  - Less sensitivity
  - One Receive Channel
  - One Rx Antenna
  - One Transmit Channel
  - One TX antenna
  - Less ERP
  - Direct RF Cabling

CCA = Circuit Card Assembly

CSCI - Computer Software Configuration Item

GPP = General Purpose Processors

COTS = Commercial Off-The-Shelf

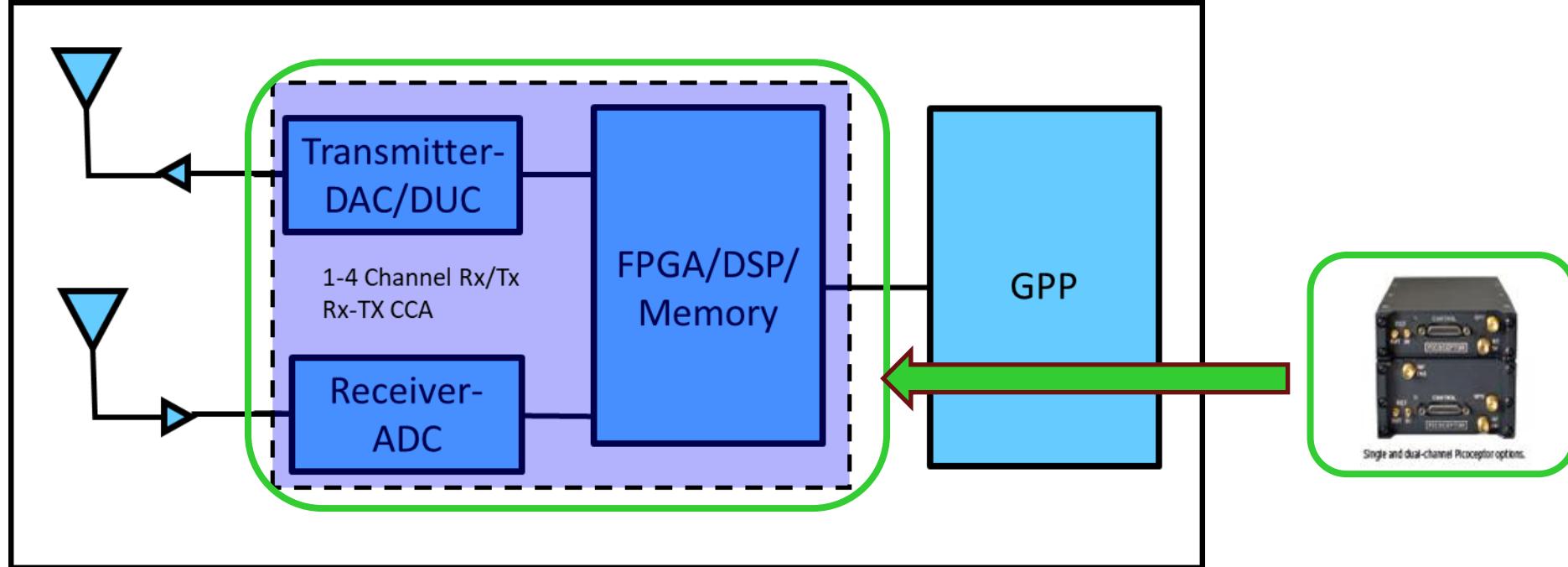
onality partitioned to direct cabling

Transduce signal output functionality allocated to discrete transmit antenna

# Modularity at the Brick

# Use of some open standards

# Case 2 Small UAV SDR (Cont.)



Architecture is scalable and can SHRINK to a low SWaP Transceiver with all Functionality



# DSM Conclusions

- A 5-step architecture development approach was shown using functional (static) DSMs
  - The resulting architecture was optimized for modularity with high cohesion and low coupling between partitioned functionality
- The functional DSM approach had the advantage of:
  - Reinforcing key steps in the architectural process
  - Easily determining the complex interdependencies between functions
  - Performing iterative allocation, aggregation and partitioning (optimizes system modularity)
  - The ability to easily develop and assess alternative architectures/scale during partitioning
- The DSM derived architecture outputs (configuration items and interfaces) can be analyzed using the MOSA Key Open Sub-System (KOSS) tool (see paper) [14]
  - Led to further definition of the modularity required to reduce system cost and facilitate capability insertion over the system lifecycle (iterate with DSM architecture).
  - Shows alignment with the 5 MOSA Principles

**Static DSMs provide a non-model based approach for performing/teaching system architecture**



**32**<sup>nd</sup> Annual **INCOSE**  
international symposium  
hybrid event

**Detroit, MI, USA**  
June 25 - 30, 2022

[www.incose.org/symp2022](http://www.incose.org/symp2022)

# References (1 of 2)



- [1] Browning, T 2001, 'Applying the design structure matrix to system decomposition and integration problems: a review and new directions', *IEEE Transactions on Engineering Management*, Vol. 48, No. 3., pp. 292–306.
- [2] Madni, AM 2014, 'Generating novel options during system architecting: psychological principles, systems thinking, and computer-based aiding', *Systems Engineering*, vol. 17, no. 1, pp. 1-9.
- [3] Maier, M & Rechtin, E 2009, *The art of systems architecting*, 3<sup>rd</sup> ed., CRC Press, New York, NY.
- [4] Taylor, R, Medvidovic, N & Dashofy, E 2010, *Software architecture - foundations, theory and practice*, John Wiley and Sons Inc., Hoboken, NJ.
- [5] Madni, AM 2018, *Transdisciplinary Systems Engineering*, Springer International Publishing, New York, NY.
- [6] Coulston, C & Ford, R 2004 'Teaching functional decomposition for the design of electrical and computer systems', *Proceedings of 34th ASEE/IEEE Frontiers in Education Conference*, pp. F4G-6 – F4G-11.
- [7] Kockler, F, Withers, T, Poodiack, J & Gierman, M 1990, *Systems Engineering Management Guide*, AD-A223-168, Defense Systems Management College, Ft. Belvoir, VA.

# References (2 of 2)



- [8] Arnold, R & Wade, J 2015, 'A definition of systems thinking: a systems approach', *Proceedings of the 2015 Conference on Systems Engineering Research*, vol. 44, pp. 669-678.
- [9] Monat, J & Gannon, T 2017, *Systems volume 8: using systems thinking to solve real-world problems*, Lawson H, Wade, J & Hofkirchner, W (eds.), College publications, U.K.
- [10] Azani, C & Khorramshahgol, R 2006, 'Modular open systems approach: an effective business strategy for building affordable and adaptable architectures', *Journal of Management Systems*, Vol. 18, No. 1, pp. 66–76.
- [11] Holttu, K, Suh, E & de Weck, O 2005, 'Tradeoff between modularity and performance for engineered systems and products', *Proceedings of the International Conference on Engineering Design (ICED)*, pp. 1-13.
- [12] Yassine, A 2004, 'An Introduction to modeling and analyzing complex product development processes using the design structure matrix (DSM) method', *Quaderni di Management (Italian Management Review)*, No.9.
- [13] Gillis, M 1999, 'Open systems joint task force gets the word out', *PM Magazine*, July-August, pp. 44-47.
- [14] Naval Open Architecture Enterprise Team, 2009, *Key Open Sub-System (KOSS) Tool: KOSS Description and Application*, Public Release SPR-09-674, NAVAIR, Patuxent River, MD.